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Abstract

22 Data from a year-long mooring array across the shelfbreak/upper-slope of the Chukchi Sea are used to describe and quantify the circulation and water masses of the region. The timeseries 23 24 revealed the year-round existence of the eastward-flowing shelfbreak jet and, seaward of this, the 25 westward-flowing Chukchi Slope Current. In the mean the slope current is estimated to transport 0.57±0.04Sv of Pacific water, while the bottom-intensified shelfbreak jet transports 26 27 0.009±0.003Sv towards Barrow Canyon. The slope current is surface-intensified in summer and 28 fall, and in winter and spring it becomes middepth-intensified, moves shoreward, and weakens. 29 Two extreme states of the circulation were identified: (1) an enhanced slope current and reversed 30 (westward-flowing) shelfbreak jet; and (2) a strong eastward-flowing shelfbreak jet and weak slope current. The former state occurs when the wind stress curl on the Chukchi shelf is positive, 31 32 and the latter state occurs when the curl is negative. A simple theoretical model is used to determine the changes in sea surface height due to such wind stress curl forcing, which is consistent with the 33 34 observed changes in flow seaward of the shelf – both in amplitude and phase – via geostrophic set 35 up. Shelfbreak upwelling occurred throughout the year, but there was no correlation between the 36 regional wind conditions and the upwelling. Furthermore, there was no apparent relationship 37 between upwelling and the extreme slope current / shelfbreak jet events. A comparison of water 38 mass signals between the Chukchi slope array and a mooring at the head of Barrow Canyon 39 supports the notion that the slope current is fed by the outflow of Pacific water from the canyon.

40 Key words: Circulation; Chukchi Sea; Boundary currents; Arctic; Shelfbreak upwelling

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47 **1. Introduction**

The Pacific inflow through Bering Strait, driven by the large-scale sea level gradient between the 48 Pacific and Arctic Oceans (Stigebrandt, 1984), plays a key role in the regional ecosystem of the 49 50 Chukchi Sea and Canada Basin (Aagaard and Carmack, 1989; Walsh, 1995; Steele et al., 2004; Shimada et al., 2006). The Pacific-origin water carries nutrients, heat, and freshwater into the 51 52 Chukchi Sea which, among other things, impacts the circulation and stratification of the shelf, the 53 growth of phytoplankton, and the distribution of sea ice (Weingartner et al., 2005; Hill et al., 2005; 54 Yang, 2006; Woodgate et al., 2010; Spall et al., 2013). After some degree of modification on the 55 Chukchi shelf, the water is then fluxed into the Canada Basin via different mechanisms of shelf-56 basin exchange, where it has a profound effect on the chemical and physical properties of the interior halocline (Jones and Anderson, 1986; Pickart et al., 2005; Spall et al., 2008; Toole et al. 57 58 2010).

59 It is generally believed that there are three main, topographically steered pathways by which Pacific water flows poleward through the Chukchi Sea (Weingartner et al., 2005; see Fig. 1). The 60 western pathway progresses through Herald Canyon between Wrangel Island and Herald Shoal; 61 the central pathway flows through the Central Channel between Herald and Hanna Shoals; and the 62 63 eastern pathway flows adjacent to the Alaskan coast from Cape Lisburne to Barrow Canyon. In 64 summertime this branch is known as the Alaskan coastal current (ACC; Paquette and Bourke, 1974). Recent work has suggested that the central branch forms a number of smaller filaments as 65 it flows towards Hanna Shoal (Pickart et al., 2016; Fig. 1). The precise partitioning of transport 66 67 between the three branches remains uncertain. Woodgate et al. (2005) suggest that, averaged over the year, the division of transport is roughly equal. However, their study was based on a limited 68 69 number of moorings. On the other hand, various studies have suggested that, at least during the summer months, much of the Pacific water flowing through Bering Strait is eventually channeled 70 71 into Barrow Canyon via the central and eastern pathways. (Itoh et al., 2013; Gong and Pickart, 72 2015; Pickart et al., 2016; Weingartner et al., 2017).



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74 Fig. 1. Schematic circulation in the Chukchi Sea (from Corlett and Pickart, 2017), showing the three main 75 pathways by which Pacific water flows poleward through the Chukchi Sea.

There is also uncertainty as to how and where the Pacific water exits the Chukchi shelf into the 76 77 Canada Basin. A portion of the outflow from Barrow Canyon turns eastward along the edge of Beaufort Sea to form the Beaufort shelfbreak jet (Pickart, 2004; Nikolopoulos et al., 2009). Using 78 data from a high-resolution mooring array, the year-long mean transport of the jet from summer 79 80 2002 to summer 2003 was estimated to be 0.13±0.08Sv (Nikolopoulos et al., 2009). However, Brugler et al. (2014) demonstrated that this transport dropped by more than 80% later in the decade, 81 82 suggesting that the Beaufort shelfbreak jet can only account for a small fraction of the Bering Strait 83 inflow. Some of the Pacific water also exits the Chukchi shelf through Herald Canyon and forms 84 an eastward-flowing shelfbreak jet along the edge of the Chukchi Sea (Mathis et al., 2007; Pickart et al., 2010; Linders et al., 2017; Corlett and Pickart, 2017). A portion of the water also appears to 85 86 enter the East Siberian Sea through Long Strait (Woodgate et al., 2005), although this has not yet 87 been established as a permanent pathway. Recently, Timmermans et al. (2017) argued that some

of the Pacific water is fluxed into the Canada Basin via subduction along the entire edge of theChukchi shelf.

90 The long-term mean northward transport of Pacific water at the mouth of Barrow Canyon has been 91 estimated to be 0.44Sv (Itoh et al., 2013), which is far greater than the eastward transport of the 92 Beaufort shelfbreak jet. The obvious question then is, where does the bulk of the Pacific water go 93 upon exiting the canyon? Recent work has documented the existence of a westward-flowing 94 current along the continental slope of the Chukchi Sea. Using hydrographic and velocity data from 95 46 shipboard transects across the shelfbreak/slope of the Chukchi Sea between 2002 and 2014, 96 Corlett and Pickart (2017) revealed the presence of the current which is surface-intensified and 97 order 50km wide during the summer months (July-October). The strongest flow occurs within 98 25km of the shelfbreak. Corlett and Pickart (2017) named the current the Chukchi Slope Current, 99 and estimated the transport of Pacific water to be 0.50±0.07Sv. It was argued that the current is 100 formed from the outflow from Barrow Canyon, and, using their data together with historical 101 measurements, Corlett and Pickart (2017) constructed a mass budget of the Chukchi shelf where 102 the inflows and outflows balance each other within the estimated errors. Recently published drifter 103 data support the notion that the outflow from Barrow Canyon forms the slope current (Stabeno et 104 al., 2018).

Two recent modeling studies have also addressed aspects of the Chukchi Slope Current. Watanabe 105 et al. (2017) investigated the advection of Pacific water during the winter months from Barrow 106 107 Canyon to the Chukchi Plateau. Their model revealed a persistent westward-flowing current that they referred to as a "shelfbreak flow", but it is clear that this is the slope current. A tracer analysis 108 indicated that the source was Barrow Canyon. The wintertime model current was mid-depth 109 110 intensified, in contrast to the summertime surface-intensified current identified by the observations of Corlett and Pickart (2017). The second modeling study investigated the means by which Pacific-111 112 origin water enters the Canada Basin (Spall et al., submitted). The model indicated that most of 113 the Pacific water feeding the basin (i.e. crossing the isobaths of the outer shelf) did so in Barrow 114 Canyon. This downplays the importance of the shelf-basin subduction mechanism proposed by Timmermanns et al. (2017). Furthermore, in Spall et al.'s (submitted) model much of the Pacific 115 116 water emanating from Barrow Canyon turned westward and formed a current over the continental 117 slope, in line with the observations. Notably, the slope current was distinct from the Beaufort Gyre.

In addition to the westward-flowing Chukchi Slope Current, Corlett and Pickart (2017) also quantified the presence of the eastward-flowing Chukchi Shelfbreak Jet (Fig. 1), whose existence was implied previously from mainly anecdotal evidence. Using the large number of shipboard transects, Corlett and Pickart (2017) estimated the jet's mean summertime transport to be 0.10±0.03Sv. Although the mean flow is eastward, at times it can be westward. Corlett and Pickart (2017) argued that this reversed flow happens during times of easterly winds. It is thought that the jet gets entrained into the Chukchi Slope Current at the mouth of Barrow Canyon (Fig. 1).

125 One of the dominant mechanisms of shelf-basin exchange across the edge of the Beaufort Sea is 126 wind-driven upwelling (Pickart et al., 2009; Pickart et al., 2011; Lin et al., 2018). Easterly winds, 127 arising from the intensification of the Beaufort High and/or passing Aleutian Lows to the south, 128 readily reverse the Beaufort shelfbreak jet and drive water from the slope onto the shelf. This 129 occurs during all seasons of the year and under different ice conditions (Schulze and Pickart, 2012). 130 Evidence of upwelling on the Chukchi slope is far less conclusive. Llinás et al. (2009) suggested 131 the occurrence of upwelling based on a single shipboard transect north of Hanna Shoal, 132 characterized by the presence of Atlantic water on the upper slope as well as surface-intensified 133 westward flow which they interpreted as a reversed shelfbreak jet. Using observations and a 134 simplified numerical model, Spall et al. (2014) argued that upwelling of nutrients from the 135 halocline to the outer shelf north of Central Channel contributed to the massive under-ice 136 phytoplankton bloom reported by Arrigo et al. (2014). Recently, Corlett and Pickart (2017) 137 presented evidence that the westward-flowing Chukchi Slope Current is intensified under 138 enhanced easterly winds. However, more extensive measurements are necessary to robustly 139 establish the occurrence of upwelling along the Chukchi slope and its forcing mechanisms.

140 This study presents results from a mooring array that was deployed across the shelfbreak and slope 141 of the Chukchi Sea from October 2013 to September 2014 to the northeast of Hanna Shoal. It is 142 the first set of high spatial resolution timeseries obtained from the region. The primary aim of the 143 study is to elucidate the structure and transport of both the Chukchi Shelfbreak Jet and the Chukchi 144 Slope Current, and to identify the nature and causes of the variability of the two currents. We begin with a presentation of the different sources of data used in the study in Section 2, followed 145 146 in Section 3 by an investigation of the mean structure and seasonality of the circulation and 147 hydrography. In Section 4 the volume transport of the shelfbreak jet and slope current, as well as

their correlation, are addressed. In Section 5 we consider the strong/weak states of the two currents
using a composite analysis. The occurrence of upwelling is then investigated in Section 6, followed
by consideration of the propagation of water mass signals from Barrow Canyon into the slope
current in Section 7.

152 **2.** Data and methods

The data used in this study were collected as part of a year-long field program funded by the Bureau of Ocean and Energy Management (BOEM) entitled "Characterization of the Circulation on the Continental Shelf Areas of the Northeast Chukchi and Western Beaufort Seas". The program employed moorings, gliders, drifters, and included multiple shipboard surveys. The present analysis uses primarily the mooring data, along with various ancillary data sets.

158 **2.1. Mooring data**

159 From October 2013 to September 2014, six moorings (CS1-5 and FM1) were deployed across the 160 shelfbreak and slope of the Chukchi Sea (Fig. 2, CS1 is not shown because it is not used in present 161 study). All of the moorings were equipped with an upward-facing acoustic Doppler current profiler (ADCP, 300KHz or 75KHz) near the bottom, which provided hourly velocity profiles with a 162 163 vertical resolution of 5-10m. Hydrographic properties were measured by MicroCATs situated next 164 to the ADCPs, and with two types of conductivity-temperature-depth (CTD) profilers: a Coastal Winched Profiler (CWP) at FM1, and Coastal Moored Profilers (CMPs) at every site. The CMPs 165 166 provided vertical traces of temperature and salinity nominally four times per day with a vertical 167 resolution of 2m, while the CWP produced profiles once per day with a resolution of 1m. A 168 detailed summary of the mooring components is contained in Table 1.

All of the ADCPs and MicroCATs returned year-long records. Unfortunately, the moored profiler coverage was generally poor. The CWP at FM1 failed immediately after being deployed.¹ Of the CMPs, only the one at CS4 profiled for the entire duration of the deployment. The instrument at CS5 profiled for eight months, the one at CS3 for two months, and the one at FM1 not at all. In the latter two instances, however, the CTD sensor on the profiler remained operational at a fixed

¹ The CWP at mooring CS1 lasted for approximately one month, but those data are not considered in this study.

depth, acting as a de facto MicroCAT. The CMP at CS2 failed entirely. Details regarding themooring instrumentation and data coverage are found in Table 1.



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177 Fig. 2. (a) Large-scale map showing the Chukchi Sea. The region in (b) is indicated by the dashed box. The 178 magenta and cyan boxes delineate the domain over which the ice concentration is calculated for the shelfbreak/slope array and for the coastal polynya region south of Barrow Canyon, respectively. The mooring 179 180 sites are indicated by the black dots. (b) Zoomed-in map of the northeastern Chukchi Sea showing the locations 181 of the moorings used in the study. The five moorings comprising the shelfbreak/slope array are shown by the 182 vellow stars. The three additional moorings east of Hanna Shoal and the mooring at the head of Barrow Canvon 183 are shown by the red and blue stars, respectively. The red line and black coordinate frame indicate the rotated 184 coordinate system. The bathymetry is from IBCAO v3. (c) Configuration of shelfbreak/slope moorings in the 185 vertical plane. The origin of the distance axis is Hanna Shoal.

Three additional moorings (NE40, NE50, NE60) were maintained from September 2013 to 186 187 September 2014 on the eastern side of Hanna Shoal at roughly the 40m, 50m, and 60m isobaths 188 (Fig. 2b). Together, the two sets of moorings comprise an array extending from the edge of Hanna 189 Shoal across the shelfbreak to the upper slope. The shelf moorings were equipped with ADCPs and MicroCATs at the bottom, recording velocity twice per hour and hydrographic data four times 190 191 per hour. The vertical resolution of the ADCPs was 1m. Velocity profiles with the same vertical 192 resolution and daily-averaged hydrographic data from a mooring at the head of Barrow Canyon (BC2, Fig. 2b) were also used for part of the analysis. The reader should consult Weingartner et 193 al. (2017) for details about the configuration of this mooring. 194

195 All of the velocity data were de-tided using the T Tide harmonic analysis toolbox (Pawlowicz et al., 2002). This revealed that there was low tidal energy level across the array: the maximum 196 197 amplitude of the eight dominant tidal constituents was found to be less than 2.2cm/s, which is considerably smaller than the sub-tidal signals of interest. The inertial signal was also found to be 198 199 generally insignificant. A rotated coordinate system was used in the analysis. The along-stream 200 direction was determined by averaging the year-long mean, depth-integrated velocity vectors at the five outer moorings. The positive x (along-stream) direction is defined as southeastward (138°T) 201 202 and the positive y (cross-stream) direction is northeastward (48°T, Fig. 2b). The associated velocities are referred to as u and v, respectively. Vertical sections of the two components of 203 204 velocity were constructed at each time step using Laplacian-spline interpolation, with a horizontal grid spacing of 2km and vertical grid spacing of 15m. The domain of the vertical sections is limited 205 206 to the five outer moorings, i.e. the region of the shelfbreak and slope, which is the main focus of 207 the study.

Mooring	Latitude	Longitude	Water Depth(m)	Instrumont	Duration	Instrument	Range	Sample	Vertical	
ID	(N)	(W)		instrument	Duration	Depth(m)	Depth(m)	Interval(h)	resolution(m)	
FM1	72°15.808′	158°02.463′	67	ADCP	10/25/2013-09/21/2014	60	8-53	1	5	
				MicroCAT	10/25/2013-09/21/2014	60	-	0.25	-	
CS2	72°18.018′	157°43.522′	102	ADCP	10/12/2013-09/22/2014	89	11-81	1	5	
				MicroCAT	10/12/2013-09/22/2014	89	-	0.25	-	
CS3	72°20.175′	157°26.893′	163	СМР	10/14/2013-09/21/2014*	-	39-146	6	2	
				ADCP	10/13/2013-09/22/2014	151	22-132	1	10	
				MicroCAT	10/12/2013-09/22/2014	151	-	0.25	-	
CS4	72°23.104′	157°8.762′	249	СМР	10/15/2013-09/21/2014	-	50-235	6	2	
				ADCP	10/13/2013-09/22/2014	241	22-222	1	10	
				MicroCAT	10/12/2013-09/22/2014	241	-	0.25	-	
CS5	72°25.82′	156°50.37′	356	СМР	10/15/2013-06/21/2014	-	42-340	6	2	
				ADCP	10/13/2013-09/22/2014	349	31-331	1	10	
				MicroCAT	10/13/2013-09/22/2014	349	-	0.25	-	
NE40	72°7.345′	160°29.675′	41	ADCP	09/09/2013-09/18/2014	40	3-37	0.5	1	
NE50	72°9.731′	159°7.524′	50	ADCP	09/09/2013-09/18/2014	49	4-46	0.5	1	
NE60	72°10.892′	158°33.069′	57	ADCP	09/09/2013-09/18/2014	56	5-53	0.5	1	

208 Table 1. Mooring information

* The CMP at CS3 got stuck near the top of the mooring on December 9, 2013.

211 **2.2. Wind data**

Wind timeseries from the Barrow, AK meteorological station are used in the study. The site is roughly 120km to the southeast of the array. The data were obtained from the National Climate Data Center (NODC) of the National Oceanic and Atmospheric Administration (NOAA) and have been quality controlled and interpolated to an hourly time base. The reader is referred to Pickart et al. (2013) for details.

217 2.3. Atmospheric reanalysis fields

To assess the effect of the broad-scale atmospheric forcing, we used reanalysis data from the North American Regional Reanalysis (NARR, Mesinger, 2006). This includes sea level pressure and 10m wind fields with a lateral resolution of 32km and time resolution of 6 hours. The NARR product represents an improvement on the global National Centers for Environmental Prediction (NCEP) reanalysis dataset in this region in resolution. The correlation between the Barrow wind timeseries and the NARR wind record in the vicinity of moorings is 0.8, at a confidence level of 95%.

225 2.4. Ice concentration data

The ice concentration data used in the study are the Advanced Very High Resolution Radiometer (AVHRR) product from NODC, NOAA. The spatial and temporal resolution of the data are 0.25° and once per day. We constructed a timeseries of ice concentration for the location of the array by averaging the data within the magenta box in Fig. 2a. To assess the polynya activity south of Barrow Canyon we averaged the data within the cyan box in Fig. 2a.

231 2.5. Regional numerical model

An analytic theory and regional numerical model are used to provide a dynamical framework for the interpretation of the influence of winds on the circulation on the outer shelf and slope. The model is the MITgcm (Marshall et al, 1997) configured in a domain that spans the Chukchi Sea and southern Canada Basin. Similar models were used by Spall (2007) and Pickart et al. (2011). The model topography was interpolated from the ETOPOv2 global topography on a 2 minute grid to the model grid with10km horizontal grid spacing and 30 levels in the vertical (5m vertical grid spacing over the Chukchi shelf). The model is initialized with a spatially uniform stratificationtypical of summertime values and forced with a sinusoidally varying wind stress defined as

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$$\tau^{x} = -\tau_{m} \sin(t\pi/P) \sin\theta r/L \qquad \tau^{y} = \tau_{m} \sin(t\pi/P) \cos\theta r/L \qquad r \le L$$

$$\tau^{x} = -\tau_{m} \sin(t\pi/P) \sin\theta L/r \qquad \tau^{y} = \tau_{m} \sin(t\pi/P) \cos\theta L/r \qquad r > L$$
(1)

where *t* is time, *P* is the duration of the wind event, and θ is the azimuthal angle relative to east. This form of wind stress provides uniform Ekman pumping for r < L and zero Ekman pumping for r > L. The parameters are taken to be the same as for the accompanying analytic calculation, L=350km, $\tau_m=0.04$ N/m², and *P*=5days. The forcing is centered over the Chukchi shelf, although the region of Ekman pumping extends to the coast of Alaska and across the shelfbreak. The model is initialized at rest and run for 5 days.

247 3. Mean and seasonal circulation and hydrography of the shelfbreak and slope

248 **3.1. Mean structure**

249 The year-long mean, depth-averaged velocity vectors with standard error ellipses are shown in Fig. 250 3. This reveals that there is persistent northwestward flow along the Chukchi slope (at CS3, CS4, 251 and CS5), with magnitude much greater than the standard error ellipses. It confirms that the 252 Chukchi Slope Current is a year-round feature, i.e. it is not only present during the summer months 253 as reported in Corlett and Pickart (2017). Note that the vector at CS5 is a bit smaller than that at 254 CS4, which is due to southeastward-directed flow of Atlantic water at depth. The mean vector at 255 CS5 becomes about 1.4cm/s greater than the vector at CS4 if the average is taken over the Pacific water layer, with instantaneously values approaching 50cm/s. The mean interface depth between 256 257 the Pacific water and Atlantic water was calculated using the CMP data following the potential 258 vorticity method of Nikolopoulos et al. (2009). The mean depth was 120m, 155m, and 167m at 259 CS3, CS4, and CS5, with averaging periods of two months, twelve months, and eight months, 260 respectively. There was no presence of Atlantic water at the other five moorings. Progressing 261 onshore past the shelfbreak to the outer-shelf, the mean flow at the next four mooring sites is 262 westward/northwestward. At mooring NE40, however, the flow is directed to the southwest. This 263 is consistent with the notion of anti-cyclonic circulation around Hanna Shoal (e.g. Weingartner et 264 al., 2013; Pickart et al., 2016).



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Fig. 3. Year-long, depth-mean velocity vectors (blue) at the mooring sites and mean 10m-wind vector (black) at
the Barrow, AK meteorological station. The standard error ellipses are shown (see the scales at the lower left).
The red line indicates the along-stream direction (see Fig. 2b). The wind rose showing wind speed and direction
at Barrow for the duration of the deployment is at the upper right.

270 Notably, the depth-averaged flow at mooring CS2 is much weaker than at the other sites; in fact, 271 it is not significantly different than zero. The reason for this can be seen in the mean vertical section 272 of alongstream velocity (Fig. 4a). The mean section reveals bottom-intensified southeastward flow 273 at CS2, inshore of the slope current. This demonstrates the year-round presence of the Chukchi 274 Shelfbreak Jet, which was also seen in the summertime mean shipboard section of Corlett and 275 Pickart (2017). Averaged over the year, the Chukchi Slope Current is surface-intensified, confined 276 to depths shallower than 250m (Fig. 4a). Clearly, the mooring array did not extend far enough 277 offshore to bracket the slope current. In the mean, the maximum flow of the shelfbreak jet is 6cm/s, 278 while that of the slope current is 13cm/s. Both the vertical section of Fig. 4a and the summertime mean vertical section of Corlett and Pickart (2017) show southeastward flow of Atlantic water at 279

depth on the mid-slope, which is assumed to be the inshore portion of the Atlantic water boundarycurrent system in the western Arctic.

The mooring hydrographic data captured the different water masses present during the year, which 282 283 are characterized in the potential temperature-salinity diagram of Fig. 4b. We follow Corlett and 284 Pickart's (2017) definitions of the regional water masses, which in turn are based on earlier studies. We note that the boundaries between the different water types are not precise, in part because they 285 286 can vary interannually (e.g. Pisareva et al., 2015), but they suffice for our purposes. There were 287 six different water masses measured on the Chukchi shelf and slope over the course of 2013-2014. 288 Percentage-wise, very little Pacific summer water was present over the shelf and slope. Only a tiny 289 bit of Alaskan Coastal Water was detected in the month of September. This should not be a surprise, however, because nearly all of the Alaskan Coastal Water present in the shipboard sections 290 291 analyzed by Corlett and Pickart (2017) occurred in the top 40m, shallower than the hydrographic 292 sensors in our mooring array. Bering summer water was more common. This is a mixture of 293 Anadyr water and central Bering shelf waters (Coachman et al., 1975), and it extends deeper in

the water column over the Chukchi slope than the warmer and lighter Alaskan Coastal Water.



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Fig. 4. (a) Year-long mean alongstream velocity section (positive flow is southeastward). The thick black line is the zero velocity contour. The dashed black line shows the boundary between the shelfbreak region and the continental slope. The grey shading indicates regions of no data coverage. The mooring sites are indicated along the top of the plot. (b) Potential temperature-Salinity diagram for all of the hydrographic data. The color represents the percentage of data within a 0.1°C by 0.1 salinity grid. The thick black lines delimit the different water masses considered in the study: MW = Melt Water; ACW = Alaskan Coastal Water; BSW = Bering Summer Water; RWW = Remnant Winter Water; WW = newly-ventilated Winter Water; AW = Atlantic Water.

305 The coldest Pacific water is the newly-ventilated Winter Water, which contributes to the local 306 temperature minimum of the Canada Basin halocline (e.g. Steele et al., 2004; Timmermans et al., 307 2014). This water is formed in the northern Bering Sea (e.g. Muench et al., 1988) and can undergo further transformation as it transits the Chukchi shelf (e.g. Weingartner et al., 1998; Itoh et al., 308 309 2012; Pacini et al., submitted). It accounted for 10.6% of the water measured by our array. The second type of cold Pacific water is Remnant Winter Water, which is newly-ventilated winter 310 311 water that has been warmed by a combination of solar heating and mixing (e.g. Gong and Pickart, 2016). This water mass was present throughout the year at the array, accounting for 36.1% of all 312 313 measurements. The most common water mass observed was the Atlantic Water, with a percentage 314 of 50.3%, located in the deep layer on the slope. Lastly, both early-season (near the freezing point) 315 and late-season Melt Water was detected (Fig. 4b).

316

317 **3.2.** Seasonality

318 The Chukchi Slope Current and Shelfbreak Jet are both present throughout the year (Fig. 5). The 319 former has a pronounced seasonal signal. It is surface-intensified (with a maximum on the order 320 of 20cm/s at the core) in summer and autumn, and becomes mid-depth intensified in winter and 321 spring and moves shoreward with a weaker speed (order 10cm/s at the core). The monthly-mean 322 sections (not shown) indicate that the mid-depth intensification is present from January to June. 323 By contrast, the shelfbreak jet shows little seasonal variation. It is always bottom-intensified, although it appears to be a bit stronger in fall (maximum velocity of roughly 8cm/s) and weaker in 324 325 spring. The southeastward flow of Atlantic water also displays seasonality, with stronger velocity 326 and shallower vertical extent (by roughly 50m) in fall and winter.



Fig. 5. Vertical sections of the seasonally averaged alongstream velocity. The presentation is the same as in Fig.
 4a.

330 The hydrographic timeseries of potential temperature and salinity at CS4 and CS5 (the two CMPs with the longest records) reveal the seasonality of water masses in the slope current (Fig. 6). The 331 332 newly-ventilated Winter Water, with temperatures below -1.6°C, first appeared in March and lasted until the end of August, in the depth range 50-170m, with a large and continuous amount 333 334 from early-April to late-July. There is also evidence of local formation of this water mass during 335 the winter months. In particular, there are numerous instances of newly-ventilated Winter Water 336 appearing in the upper 50-75m from December to February, which is likely the signature of convective overturning driven by brine rejection as a result of re-freezing polynyas. Some warm 337 and fresh water also shows up above 100m from November to March. At the shelfbreak, the 338 MicroCAT data at the bottom of CS2 indicates that Remnant Winter Water and newly-ventilated 339 340 Winter Water are two dominant watermasses. Most of the newly-ventilated water is present from 341 mid-May to mid-September, while Remnant Winter Water is dominant for the remaining time. The timing of the newly-ventilated Winter Water is investigated further in Section 7. 342

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347 The year-long mean wind vector at the Barrow meteorological station is out of the east/northeast 348 (254°T) with a speed of 1.6m/s (Fig. 3). The wind rose reveals that there were also periods of 349 westerly/southwesterly wind, although they were much less frequent (Fig. 3). Seasonally, the winds were strongest during fall and early winter, and weaker and variable in direction in spring 350 (Fig. 7a). Freeze-up at the mooring site occurred in late November, after which the ice 351 352 concentration remained above 90% until early July when melting began. The polynya south of 353 Barrow Canyon opened up three times - in early January, late January/early February, and late April/early May – during which times the ice cover was also reduced at the mooring site (Fig. 7b). 354 355 These periods were preceded by significant northeasterly winds lasting several days (Fig. 7a).



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Fig. 7. (a) Daily-mean wind velocity at the Barrow meteorological station (blue vectors). The light gray shading and red vectors denote periods of northeasterly wind, and the corresponding mean wind velocity, preceding the three major occurrences of reduced ice cover at the mooring array site and south of Barrow Canyon. (b) Ice concentration timeseries at the array site (magenta curve) and at the location of the polynya south of Barrow Canyon (cyan curve). The light gray shading indicates the three periods of reduced ice concentration. The dark grey segments at the bottom of the plot indicate when upwelling occurred.

364 3.3. Dominant modes of velocity

365 Empirical orthogonal functions (EOFs) were used to determine the dominant variability of the366 alongstream velocity over the shelfbreak and slope (Fig 8a-d). The first two modes explain 62%

367 and 11% of the total variance, respectively. The spatial pattern of mode 1 consists of same-signed 368 values over the entire section. To visualize the associated velocity structure, we added the product 369 of the spatial pattern of mode 1 and positive/negative one standard deviation of the corresponding principal component (PC1) to the year-long mean section. In the former case the slope current is 370 371 strong and occupies most of the section, with only a weak signature of the eastward-flowing shelfbreak jet (Fig. 8e). In the latter situation the shelfbreak jet is strong, as is the eastward flow 372 373 of Atlantic water at depth. In this case the slope current is displaced off shore and weakened (Fig. 374 8g). The PC1 timeseries fluctuates frequently between positive and negative values, indicating that both states are common. In Fig. 8c we have marked occurrences of these two states which are 375 376 investigated below in detail.

377 The spatial pattern of EOF mode 2 shows a dipole structure with positive values onshore of 378 y=175km and negative values offshore. When adding this back into the mean after multiplying by positive/negative one standard deviation of PC2, one sees that this mode reflects lateral shifts of 379 380 the slope current (Fig. 8f,h). In the first instance the slope current is offshore, surface-intensified, 381 and strong. In the second condition it is onshore, middepth-intensified, and weak (the shelfbreak 382 jet is present in both scenarios). These two states are reflective of the seasonal configurations presented above (Fig. 5). This is supported by the PC2 timeseries which has larger values in 383 384 summer/fall and smaller values in winter/spring (Fig. 8d). Hence mode 1 is reflective of higher 385 frequency variability, while mode 2 represents the seasonal signal.





Fig. 8. The first two EOF modes associated with the alongstream velocity sections. The left-hand column is
mode 1 and the right-hand column is mode 2. (a,b) Spatial structure of the modes, including the percent variance
explained by each. (c,d) The principal component timeseries of each mode. Note that the values are normalized

to a maximum of 1. The blue and yellow lines in (c) denote the realizations of the two different states considered
in Section 5 (see text). (e,g) Mode 1 multiplied by positive/negative one standard deviation of PC1, added to the
year-long mean section. (f,h) Same as (e,g) except for mode 2.

393 4. Volume Transport

394 To estimate the volume transport of slope current and shelfbreak jet, we chose y = 158km as the 395 dividing line between the shelfbreak and slope regions based on the velocity distribution of the 396 year-long mean section (dashed line in Fig. 4a). The transport of the shelfbreak jet, covering the 397 region 140 km < v < 158 km over the full depth of the water column, can be positive (eastward) or 398 negative (westward). We also consider the near-bottom portion flow defined by the region of 399 eastward transport in the mean section (referred to as the bottom shelfbreak jet). For the slope 400 current we consider only the westward flow, so by definition the transport is always negative. The 401 vertical sections of velocity are extrapolated to the surface and to the bottom for the transport 402 computations.

403 Only 38% of the vertical sections bracketed the main part of slope current. As such, any estimate 404 of transport of the current will be an under-estimate. To help alleviate this, we invoked a "mirroring" 405 technique to estimate the missing transport. For 47% of the sections, the velocity core of the slope 406 current was close to or beyond the edge of the grid. In these cases we took the offshore part of the 407 current to be the mirror image of the inshore part. This was only done using information within 408 10km of the edge of the grid, and was also limited vertically to the upper 150m of the water column 409 (i.e. the strongest part of the flow). In certain sections this approach was not feasible (for example 410 the slope current occasionally had two cores). Of course, there is no a priori reason why the slope 411 current should be symmetric as such, but we feel that this was a worthwhile attempt to boost the 412 transport estimate to be closer to the true value, although this estimate is still clearly an 413 underestimate. For the remaining 15% of the sections there was either missing data (10%) or no 414 signature of the slope current (5%). In the former case transport timeseries was interpolated, in the 415 latter case no value was calculated.

The resulting volume transport timeseries and monthly mean transport of the slope current and
shelfbreak jet are shown in Fig. 9. The year-long mean westward transport of the slope current is
0.71±0.05Sv. Using the mean boundary between the Pacific water and Atlantic water (see Section

419 3.1 above), the year-long mean transport of Pacific water is 0.57±0.04Sv. This value includes the contribution due to melt water in the upper layer. The collection of shipboard sections used by 420 421 Corlett and Pickart (2017) extended to the surface, hence they were able to compute the westward 422 transport of melt water by the slope current for the period July-October, which was estimated to 423 be 0.19Sv (B. Corlett, pers. comm., 2017). Assuming that there is negligible transport of this water 424 mass during the remaining months of the year, this implies a yearly averaged melt water transport 425 of 0.06Sv. Subtracting this from our mean value gives 0.51Sv. This is line with Corlett and Pickart's (2017) estimate of 0.50±0.07Sv. Included in Fig. 9a are synoptic estimates of the slope 426 427 current Pacific water transport from eight shipboard sections conducted during the mooring year. 428 These agree reasonably well with the timeseries values determined from the moorings (cyan curve). 429 The transport of the slope current varies substantially on a variety of time scales, ranging from 430 near zero to 2Sv (Figure 9a).

The year-long mean transport in the vicinity of shelfbreak is also westward, 0.025±0.008Sv. The flow fluctuates between positive and negative throughout the year (grey curve in Fig. 9b), with a range of approximately -0.2 to 0.2Sv. However, as seen in Fig. 4a, the eastward-flowing shelfbreak jet is bottom-intensified. Considering the near-bottom portion only (red curve in Fig. 9b), the yearlong mean transport is 0.009±0.003Sv to the east. This value is smaller than the transport of the Beaufort shelfbreak jet measured in recent years (mean of 0.023±0.018Sv to the east, from 2008– 2014; P. Lin, pers. comm., 2017).

The monthly mean timeseries indicates that the transport of the slope current is larger in summer, 438 with a peak value in September (Figure 9c). This is slightly at odds with the results of Corlett and 439 440 Pickart (2017) who found that the slope water transport was largest in October, although they 441 computed the transport for different time periods. The monthly-averaged transport of the flow at the shelfbreak is westward from December to July and eastward for the other months except 442 443 September. The transport within the near-bottom region of the shelfbreak is eastward for all 444 months except May and June. Recall that there is no Atlantic water present at the bottom of CS2, 445 so the transport computed here is all Pacific water transport.

446 The transport timeseries of the slope current and the shelfbreak jet have a significant negative 447 correlation after removing the high-frequency fluctuations. The correlation coefficient is -0.6 at a





450

Fig. 9. Volume transport timeseries of (a) the Chukchi Slope Current (purple curve), Pacific water in the Chukchi
Slope Current (cyan curve), Pacific water in the Chukchi Slope Current from eight shipboard sections occupied
during the year (black crosses), and (b) the Chukchi Shelfbreak Jet (grey curve) and bottom portion of the
shelfbreak jet (red curve, see text for explanation). (c) Monthly-mean slope current transport with standard errors.
(d) Monthly-mean transport and standard errors for the shelfbreak jet (grey curve) and bottom portion of the
shelfbreak jet (red curve). The Pacific water transports include the melt water contribution.

458 5. Extreme states of the slope current and shelfbreak jet

Recall that the positive/negative states of EOF mode 1 (the dominant mode) for the alongstream velocity are (1) a strong slope current and weak-to-no shelfbreak jet; and (2) a weak slope current that is displaced offshore, with a very strong eastward-flowing shelfbreak jet. This result, together with the negative correlation in transport of the two currents, motivates us to elucidate this relationship and try to understand what drives this variability. 464 Corlett and Pickart (2017) argued that the westward flow of the Chukchi Slope Current is enhanced 465 under strong easterly winds (exceeding 4m/s) along the shelfbreak for the months of July-October 466 (the seasonal time period of their study). The easterly direction was taken to be the component of 467 wind directed from the southeast parallel to the shelfbreak. In an attempt to corroborate their result, 468 we did the same exercise using the mooring data for the same months of the year, and obtained a 469 similar result, i.e., an enhanced slope current and a weaker shelfbreak jet.

470 To expand on this analysis and include the full year, we isolated all the times when the slope 471 current was strong while the shelfbreak jet was simultaneously reversed to the west, as well as 472 those times when the shelfbreak jet was flowing strongly to the east while the slope current was 473 weak. The criteria used for the first type of event was that the slope current transport be at least 474 0.3 standard deviations greater than the mean, while the flow at the shelfbreak be at least 0.3475 standard deviations weaker (more negative) than the mean. For the second condition, the shelfbreak jet had to be at least 0.3 standard deviations larger (more positive) than the mean, while 476 the slope current needed to be at least 0.3 standard deviations weaker than the mean. We further 477 478 divided the events by the quadrant from which the wind was blowing.

The event statistics are summarized in Table 2. Overall, the strong slope current / weak shelfbreak 479 480 jet condition occurred ~16% of the time, while the weak slope current / strong shelfbreak jet scenario occurred ~18% of the time. We chose to focus on these extreme states to maximize the 481 relationships between the oceanographic and atmospheric signals. The results are not overly 482 483 sensitive to the precise fraction of the standard deviation chosen to define the events. We also did not consider any events shorter than 12 hours in duration, and two events are considered as one if 484 the time gap between them is less than 12 hours. The occurrences of the two types of conditions 485 486 are marked in Fig. 8c in relation to the principal component timeseries of EOF 1. One sees that the 487 peaks of PC1 are consistent with the periods of the two states.

488

489

490

- 492 Table 2. Statistics for the two types of extreme events considered in the text: (i) strong slope current and reversed
- 493 shelfbreak jet; (ii) strong shelfbreak jet and weak slope current. The first column indicates the quadrant from
- 494 which the wind was blowing. The percentage in parentheses corresponds to the fraction of the event length
- relative to the total length in the last row. The underlined percentages represent the fraction of total length relative
- 496 to the year-long duration of the record. The three primary scenarios considered in the text are in bold.

	Stro	ng SC & reverse	ed SJ	Strong SJ & weak SC						
	Number of events	Total length in days	Mean event length in days (range)	Number of events	Total length in days	Mean event length in days (range)				
SW-wind	15	23.1 (42.4%)	1.5 (0.5~4.6)	3	3.3 (5.4%)	1.1 (0.7~1.8)				
NE-wind	9	19.5 (35.7%)	2.2 (0.8~3.8)	22	36.1 (60.0%)	1.6 (0.6~4.3)				
SE-wind	3	9.4 (17.2%)	3.1 (1.3~5.1)	7	12.3 (20.3%)	1.8 (0.6~5.0)				
NW-wind	2	2.6 (4.7%)	1.3 (1.1~1.5)	7	8.6 (14.3%)	1.2 (0.5~2.1)				
Total	29	55 <u>(15.8%)</u>	1.9	39	60 <u>(17.5%)</u>	1.5				

497

498 5.1. Strong slope current and reversed shelfbreak jet

Based on the results of Corlett and Pickart (2017), one might expect these conditions to always correspond to an easterly wind (i.e. with a component of the wind paralleling the shelfbreak from the southeast). Surprisingly, however, this extreme state occurred under various wind conditions (Table 2). Here we consider the two wind conditions that resulted in the most days with a strong slope current and reversed shelfbreak jet: southwesterly and northeasterly directed winds, which together account for more than 78% of the total duration of this state (Table 2).

505 *Winds from the southwest*

There were 15 instances in which the slope current was anomalously strong and the shelfbreak jet was reversed while the wind was from the southwest. The composite mean vertical section of alongstream velocity (Fig. 10a) shows that there was westward flow throughout the array, with the slope current 5-10cm/s stronger than normal (Fig. 10b). Low sea level pressure (SLP) was present in the southern Canada Basin, with associated cyclonic winds (Fig. 10c), while higher SLP was present to the south (with a maximum in the Bering Sea, not shown). The wind stress curl was strongly positive on the northeastern Chukchi shelf (Fig. 10d), producing divergent conditions for Ekman transport. This implies that there would be a drop in sea surface height on the shelf, which
would set up a geostrophic response of enhanced flow to the west along the Chukchi
shelfbreak/slope, consistent with the mooring observations.



516

Fig. 10. Composite average fields for the strong slope current / reversed shelfbreak jet events with southwesterly
wind. (a) Vertical section of alongstream velocity. (b) Vertical section of alongstream velocity anomaly
(composite minus the year-long mean). (c) Sea level pressure (color) and 10m-wind vectors from NARR (grey
vectors), along with the measured wind from the Barrow meteorological station (purple vector). The location of
the shelfbreak/slope mooring array is indicated by the purple star. (d) Wind stress curl (color). The purple ellipse
indicates the domain that is used to compute the mean wind stress curl on the shelf in Fig. 13.

523

524 *Winds from the northeast*

The second most common occurrence of this extreme state occurred when the wind was out of the northeast, with a total of 9 events. As with the previous situation, the alongstream velocity was strongly northwestward across the array (Fig. 11a), with the maximum velocity anomaly somewhat 528 larger and located deeper in the water column (Fig. 11b). In contrast to the previous case, high 529 SLP was present in the northern Beaufort Sea (Fig. 11c) and low SLP farther to the south. However, 530 despite this difference in the atmospheric circulation, the wind stress curl was again positive on 531 the northeastern Chukchi shelf (although not as strong as in the previous condition, Fig. 11d), 532 conducive for increased westward flow along the Chukchi shelfbreak/slope via geostrophic set up.



533



535

536 5.2. Strong shelfbreak jet and weak slope current

537 Winds from the northeast

538 Unlike the previous extreme state, which had roughly equal percent occurrences for southwesterly 539 and northeasterly winds, the opposite extreme of a strong eastward-flowing shelfbreak jet and 540 weak slope current was predominantly due to a single wind condition, that of northeasterly winds 541 (Table 2). There were a total of 22 such events. The composite alongstream velocity section shows 542 that the shelfbreak jet was ~ 10 cm/s near the bottom, with southeastward flow all along the 543 continental slope transporting Atlantic water at depth (Fig. 12a). The slope current was significantly weaker than in the mean, with a maximum anomaly of ~10cm/s between 75-200m 544 545 depth (Fig. 12b). The atmospheric pattern associated with this state consists of high SLP in the Canada Basin and lower SLP to the south (Fig. 12c). The corresponding circulation results in 546 547 strongly negative wind stress curl on the northern Chukchi shelf (Fig. 12d), which would lead to Ekman convergence and a rise in the sea level height. This in turn would cause enhanced 548 549 southeastward flow along the Chukchi shelfbreak/slope as observed.

This situation is consistent with results from a previous study of a storm event in this region. 550 Pickart et al. (2011) analyzed the response of the northeast Chukchi Sea and western Beaufort Sea 551 552 to a strong Aleutian Low, using both observations and a regional numerical model. The cyclone 553 resulted in northeasterly winds over a three-day period similar to that seen in Fig. 12c. Mooring 554 data on the Chukchi slope, roughly 300km to the west of our mooring array, showed a stronger 555 eastward-flowing Chukchi Shelfbreak Jet during the storm. The model indicated that this arose 556 due to an increase in sea level on the Chukchi shelf associated with strong negative wind stress 557 curl and Ekman convergence. This lends credence to our interpretation of these extreme events 558 seen in our data.



560

Fig. 12. Same as Fig. 10, except for the strong shelfbreak jet and weak slope current events with northeasterlywind

563

564 5.3 Dynamical Considerations

565 *Observed phase relationship of forcing and response*

The above analysis implies that the wind stress curl plays a key role in the occurrence of the 566 567 extreme states. To examine this further, we diagnosed the timing of the two types of events to quantify the relationship between the wind stress curl and oceanographic response. First, we 568 569 normalized the time of each individual event for all of the strong slope current / reversed shelfbreak 570 jet cases and all of the weak slope current / strong shelfbreak jet cases. Time zero/one was the 571 start/end of the event based on the mooring velocity records, and we extended the temporal domain 572 on either side of the event by one time unit to include the spin up and spin down. The wind stress 573 curl was averaged spatially within the area marked on Figs. 10d, 11d, and 12d, and this signal, as

well as the transport signals, was low-passed with a 3-day filter width prior to isolating the events
and normalizing in time to remove high-frequency noise. The individual events for the two extreme
states were then averaged together to obtain a composite time evolution for each.

577 The results of this calculation are shown in Fig. 13. The top row is the strong slope current / 578 reversed shelfbreak jet case, and the bottom row is the weak slope current / strong shelfbreak jet 579 case. In the former case the westward transport of the slope current increases from 0.65Sv prior to 580 the event to 1.2Sv during the event, dropping to 0.7Sv after that. The shelfbreak jet transport goes 581 from about 0.05Sv to more than 0.1Sv to the west (the transport here is that of the entire shelfbreak 582 region, not just the bottom portion). There is a phase lag between the peak of the wind stress curl 583 and the peak of the volume transports of about 0.2, which corresponds to 17h in the mean. Such a lag is seen in the individual events as well (with a range of 10h to 1.9 days). 584

585 The composite timeseries for the latter case show an analogous situation (bottom panel of Fig. 13). 586 The westward transport of the slope current decreases from about 0.7Sv before the event to 0.3Sv 587 during the event, increasing back to the original value afterwards. At the same time the shelfbreak 588 jet transport becomes positive, reaching a value of 0.05Sv. The wind stress curl signal is roughly 589 the opposite of the former case, becoming strongly negative. Again there is a phase lag between the wind stress curl and volume transports, with the curl leading by 0.25, corresponding to 18h in 590 591 the mean. The individual events generally show this pattern as well, but with more scatter than the 592 other type of event (a range of 0h to 5.9 days).

These composites imply a clear relationship between the wind stress curl on the Chukchi shelf and the transport of the two currents north of the shelf. The next question is, does the observed phase lag between the forcing and response, as well as the magnitude of the response, make sense dynamically?



Fig. 13. Normalized timeseries of the two types of extreme events (see text for details). The top row is for the case of a strong slope current / reversed shelfbreak jet. The bottom row is for the case of a weak slope current and strong shelfbreak jet. The first column is wind stress curl averaged over the regions shown in Figs. 10d, 11d, and 12d. The second column is the transport of the Chukchi Slope Current. The third column is the transport of the shelfbreak region. The "SC" and "SJ" denote the slope current and the shelfbreak jet, respectively. The red lines in (a) and (d) show the zero lines and those in other panels show the mean for the time of -1 to 0. The dashed lines mark the duration of the normalized event.

605 *Theoretical model*

A simple theory was derived to shed light on the oceanic response to wind stress curl over the 606 607 Chukchi shelf. The purpose is not to reproduce the observations in detail, but instead provide 608 insight into the general behavior and to identify the key parameters that control the lowest order response to a region of cyclonic or anti-cyclonic wind stress curl over the shelf. Consider a region 609 of the shelf subject to wind stress curl (Fig. 14). For simplicity, it is assumed that there is uniform 610 611 Ekman pumping over a circular region of radius L and depth H. The velocity U along the perimeter of this region scales with the gradient of sea surface height, through geostrophy, as $U = g\eta / f_0 L$, 612 where η is the sea surface height anomaly in the center of the domain, g is the gravitational 613 acceleration, and f_0 is the Coriolis parameter. A region of anti-cyclonic wind stress curl, as depicted 614

615 in the figure, will force a convergence of the Ekman transport, a doming of the sea surface, and a 616 downward Ekman pumping. Because the perimeter of the circle is closed and the flow is on an 617 *f*-plane, the geostrophic flow across the perimeter is exactly zero. The net inflow in the surface 618 Ekman layer must be balanced by an ageostrophic horizontal velocity, which we assume takes 619 place in a bottom boundary layer. As the sea surface height grows, a lateral pressure gradient 620 develops that drives an anti-cyclonic flow. This acceleration will continue until the export in the 621 bottom boundary layer matches the inflow in the surface Ekman layer. It is implicitly assumed that there is a vertical separation between the surface and bottom boundary layers. For time-dependent 622 623 forcing there can be a lag between the surface and bottom Ekman layers, leading to a lagged 624 evolution of the sea surface height and horizontal circulation relative to the wind forcing.

625 This mass budget results in a simple equation for the evolution of η as

626
$$\frac{\partial \eta}{\partial t} = w_E - \frac{2C_d g \eta}{f_0^2 L^2}, \qquad (2)$$

where w_E is the Ekman velocity and C_d is the bottom drag. Similar, but more complicated, approaches have been applied by Nöst and Isachsen (2003), Isachsen et al. (2003), and Spall (2016). Given that the wind events in the Chukchi Sea region demonstrate a clear beginning, peak, and end, we will represent the Ekman pumping by a simple sinusoidal forcing with maximum amplitude W_E and period $2 \pi/\omega$, $w_E = W_E \sin(\omega t)$, and consider solutions for $0 < t < \pi/\omega$, i.e. a single pulse of wind with a peak Ekman pumping of W_E .

633 For an initial condition of $\eta = 0$, the solution to (2) is

634
$$\eta = \frac{W_E \lambda \gamma \sin(\omega t - \phi)}{\left(1 + \lambda^2 \gamma^2 \omega^2\right)^{1/2}} + \frac{W_E \lambda^2 \gamma^2 \omega}{1 + \lambda^2 \gamma^2 \omega^2} e^{-t/\lambda \gamma}, \qquad (3)$$

635 where the non-dimensional constant $\lambda = L^2 f_0^2 / gH$ is the square of the ratio of the length scale of 636 forcing *L* to the barotropic deformation radius, and $\gamma = H / 2C_d$ is the Ekman spin-down time. The 637 spatial scale of the forcing is important because the total Ekman pumping increases as L^2 while the 638 export in the bottom boundary layer increases only as *L*, so large *L* results in a stronger circulation. 639 Typical parameters for the Chukchi Sea are H = 40m, L = 350km, and $\lambda = 4.4$. For a typical bottom 640 drag of 10^{-3} m/s, $\gamma = 2 \times 10^{4}$ s. This is shorter than the time scale for synoptic weather events in the 641 region, so $\gamma \omega < O(1)$ and friction is expected to be important.

Equation (3) was integrated subject to an average 5-day wind event with a peak wind stress of 642 0.04 N/m² (Fig. 15). This corresponds to a wind stress curl of O(1×10⁻⁷ N/m³), in line with the 643 644 observed forcing in Fig. 13a,d. The duration of 5 days is consistent with the wind anomaly 645 preceding and extending past the defined velocity anomaly, which has a typical duration of 3.5 646 days. The sea surface height grows over several days, peaking near 0.15 m about 21 hours after the peak in wind stress. The transport peaks at the same time at about 0.47Sv, close to the measured 647 648 increase in the slope current transport (0.4–0.6Sv, Fig. 13b,e). To demonstrate the importance of bottom drag, the sea surface height for $C_d = 0$ ($\gamma = \infty$) is indicated by the dash-dot line. It peaks 649 650 at the end of the wind event (since it is simply an accumulation of the Ekman transport) with an 651 amplitude about five times that found with typical bottom friction. The transport would see a 652 similar increase in magnitude and be found to be a maximum at the end of the forcing. These results compare favorably, both in amplitude and phase, to the average wind-forced event 653 654 described above (Fig. 13). Because the system is linear, a cyclonic wind stress of the same 655 magnitude would produce the same response, just of opposite sign.

656 While the above theory provides simple, intuitive closed form solutions, several strong assumptions were required, such as a flat bottom and no stratification. To test the basic predictions 657 658 under more complete physics, the regional primitive equation model described in Section 2.5 was 659 run using the same forcing parameters as the above analytic calculation. This model was stratified, 660 used 5m vertical grid spacing, and has a realistic bottom topography. The transport anomaly driven by the wind stress anomaly over the shelf (Ψ_m) is indicated on Fig. 13 by the bold dashed line. 661 662 The primitive equation model agrees closely with the prediction from the theory, in both phase and amplitude of the response, providing confidence that the assumptions in the theory do not 663 664 compromise the basic predictions.

For $\lambda \gamma \omega \ll 1$, the sea surface height is in phase with the forcing and linearly dependent on $W_E \lambda \gamma$. This corresponds to the small forcing length scale or strong bottom drag limit. Interestingly, in this regime the magnitude of the response is independent of the forcing frequency (or duration of the storm). In the opposite limit of $\lambda \gamma \omega \gg 1$, corresponding to large-scale forcing or weak bottom 669 drag, the sea surface height approaches $2W_E / \omega$ and the phase lags by 90° (the factor of 2 comes 670 from the second term in (3), which is negligible in the limit of small $\lambda \gamma \omega$). In this regime the sea 671 surface height anomaly is larger for longer storms.





Fig. 14. Schematic of the wind-forced circulation over a circular region of diameter 2*L*.

674



675

Fig. 15. Sea surface height η (m) for typical parameter values (see text), transport streamfunction (Ψ from
theory, Ψ_m from numerical model) (Sv), sea surface height with no bottom drag (η₀), and temporal
distribution of wind stress τ (N/m²).

680 6 Upwelling

681 One of the dominant mechanisms of shelf-basin exchange along the Alaskan Beaufort continental shelfbreak is wind-driven upwelling. This occurs readily for easterly winds exceeding 4m/s during 682 683 all seasons of the year (Pickart et al., 2009; Schulze and Pickart, 2012). Using multiple years of mooring data along with atmospheric reanalysis fields, Lin et al. (2018) demonstrated that the 684 685 upwelling is not driven by the local wind stress curl, the inference being that it is coastal upwelling 686 (the Beaufort shelf is only 50km wide). While there have been reports of upwelling along the 687 Chukchi shelfbreak (e.g. Llinás et al., 2009; Spall et al., 2014), the evidence is somewhat anecdotal, 688 and, until now, there have been no mooring arrays spanning the Chukchi shelfbreak/slope. As such, 689 it is of interest to examine our moorings records for evidence of upwelling. Note that, because the Chukchi shelf is so wide (order 500km), any such signals would not be due to coastal upwelling. 690

691 Following Lin et al. (2018), we use the near-bottom potential density anomaly in the vicinity of 692 the shelfbreak as a metric for the occurrence of upwelling. The density anomaly is computed from 693 the 20-day low-passed MicroCAT potential density records. Upwelling was deemed to occur when 694 the density anomaly at the shelfbreak mooring (CS2) was positive for more than one day, and the 695 density at the outer shelf mooring (FM1) showed a similar increase during the period. The purpose 696 of the low-pass was to remove the influence of more slowly-varying hydrographic variations of 697 the shelfbreak jet, e.g. due to alongstream advection. The size of the filter width has little effect on 698 the identification of the upwelling events. If the time gap between two events was less than 12 699 hours, the two events were considered as a single event.

700 A total of 15 upwelling events were identified during the year 2013-14 using our criteria. By 701 comparison, Lin et al. (2018) found an average of 22 events per year using a 6-year mooring record 702 at the Alaskan Beaufort shelfbreak. The upwelling identified here occurred in all seasons (dark 703 grey blocks in Fig. 7), which was the case for the Beaufort shelfbreak as well (Schulze and Pickart, 704 2012; Lin et al., 2018). As a measure of the strength of the upwelling, an upwelling index was used 705 which is defined as the time integral of the density anomaly over the duration of an event. This is 706 the same measure used by Lin et al. (2018) which takes into account both the duration and 707 magnitude of the event. Various statistics for the upwelling occurrences are shown in Table 3.

The length of the upwelling events varies from 1.3 days (event 11) to 6.2 days (event 6), with a mean length of 3.5 days. This is shorter than the upwelling events observed on the Beaufort shelfbreak which were found to be 4.8 days on average based on 6 years of mooring data (P. Lin, pers. comm., 2017). The upwelling index ranges from 1.1kg m⁻³ h (event 5) to 26.7kg m⁻³ h (event 9), with a mean value of 5.9kg m⁻³ h, also smaller than for the Beaufort Sea. Hence, overall, the upwelling on the Chukchi slope appears to be weaker than that on the Beaufort slope.

714 There are no clear trends relating the nature of the atmospheric forcing to the occurrence of upwelling at our array site. The winds are from different directions and the local wind stress curl 715 716 varies in sign from event to event. As such, neither easterly wind nor positive local wind stress 717 curl – two likely forcing mechanisms – are required for upwelling to occur. Of the 15 events, 7 occurred during partial ice cover (concentrations less than 70%) and the other 8 events occurred 718 719 during heavy ice (concentrations greater than 90%). There was no correlation between the strength 720 of the upwelling and the ice concentration. This is also different than the Beaufort Sea, where the 721 upwelling is strongest during the partial ice season and weakest during the full ice season (Schulze 722 and Pickart, 2012). Both the forcing mechanism and influence of sea ice need to be further 723 investigated, which are perhaps best addressed in a modeling framework.

Table 3. Statistics of the upwelling events measured by the mooring array. The rows indicate: event number;
length (in days) of each event; value of the upwelling index (UI, unit: kg m⁻³ h); and mean wind speed, direction,
and sign of the mean wind stress curl in the vicinity of mooring array (magenta box in Fig. 2a) for the period
from 3 days prior to each event to the end of the event (+ and – denote positive (cyclonic) and negative (anticyclonic) curl, respectively).

event	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	mean
Length (d)	1.7	2.5	1.9	6	2.2	6.2	3.3	2	4.5	2.4	1.3	3.6	4.5	5.1	4.6	3.5
UI (kg m ⁻³ h)	3.8	1.8	5.5	11.1	1.1	9.0	6.4	2.4	26.7	2.0	3.5	10.7	1.5	2.4	3.4	5.9
Wind speed (m s ⁻¹)	4.5	4.5	4.5	3.9	2.7	4.9	3.9	2.8	3.8	3.0	3.0	4.4	6.7	5.2	6.2	4.3
Wind direction	NE	SW	SW	NE	NW	NE	NE	SW	SW	NE	NE	SW	NE	SW	SW	SE
Wind stress curl	-	-	+	+	-	+	-	-	+	+	+	+	-	-	+	-

730 To shed light on the nature of the upwelling on the Chukchi slope, we focus on the strongest event 731 (event 9 in Table 3) which took place in May 2014. The composite averages for three stages of the 732 event ('before', 'during', and 'after') are shown in Figs. 16-18. The 'before' composite is averaged over the 3 days preceding the upwelling event (Fig. 16). Prior to the event the wind was from the 733 734 southwest and the wind stress curl was positive over much of the Chukchi shelf (Fig. 16e,f). The slope current was well established and the shelfbreak jet was reversed to the west (Fig. 16c). The 735 736 direction of flow at the array line was mainly northwestward, with a cross-stream component that 737 varied from site to site, predominantly offshore relative to the year-long mean direction (Fig. 16b).

738 During the upwelling event, the density at the shelfbreak (CS2) increased markedly with a maximum change of about 0.7kg/m³ (Fig. 17a). The density at the outer shelf displayed a similar 739 increase with a lag of roughly two days. The slope current remained strong and the reversed flow 740 741 of the shelfbreak jet intensified (Fig. 17c). The most notable difference is that the flow veered onshore at all of the mooring sites, which is especially evident in the vector plot of Fig. 17b. The 742 743 cross-stream section shows that the onshore flow was present throughout the upper 200m of the 744 water column, and especially strong at the shelfbreak. The wind didn't change much, veering 745 slightly to the east, and the wind stress curl remained positive on the Chukchi shelf.

At the conclusion of the upwelling event, the density at the shelfbreak and outer-shelf decreased back to their low-passed values (Figure 18a). The slope current weakened and the southeastwardflowing shelfbreak jet re-established itself along with enhanced southeastward flow of Atlantic water at depth (Fig. 18c). The depth-integrated cross-stream flow was weak (Fig. 18b), but the structure of the flow was baroclinic, with offshore flow in the upper layer and onshore flow near the bottom (Fig. 18d). The wind in the vicinity of the array weakened and became more westerly, causing the wind stress curl on the Chukchi shelf to become negative (Fig. 18e,f).

The reader will notice that the conditions both leading up to the upwelling event and during the event are reminiscent of the southwesterly type of extreme event analyzed earlier (Fig. 10). In particular: a strengthened slope current, reversed shelfbreak jet, and positive wind stress curl on the Chukchi shelf. In fact, upwelling event 9 did correspond to an extreme event. This causes one to wonder if all of the upwelling events were associated with extreme events. The answer is no. Most of the upwelling events occurred between extreme events, while there was some overlap with both kinds of extreme events. With regard to upwelling event 9, it is unclear what caused the flowto veer onshore during this particular extreme event.





Fig. 16. Composite average fields prior to an upwelling event in May 2014 (event 9, see Table 3). (a) Density timeseries at the shelfbreak mooring CS2 and outer-shelf mooring FM1 from 3 days before the event to 3 days after the event, where the bold indicates the time period before the upwelling. The dashed lines are the 20-day low-passed curves. (b) Depth-averaged (0-250m) velocity vectors at the mooring sites (blue arrows) and the mean velocity vector of all moorings (large arrow). The year-long mean velocity direction is denoted by the black line. (c) Vertical section of alongstream velocity. (d) Vertical section of cross-stream velocity (positive is

offshore). (e) Sea level pressure (color) and 10m-wind vectors from NARR (grey vectors), along with the
measured wind from the Barrow meteorological station (purple vector). The location of the shelfbreak/slope
mooring array is indicated by the purple star. (f) Wind stress curl (color).





Fig. 17. Same as Fig. 16, except for the time period during the upwelling.





Fig. 18. Same as Fig. 16, except for the time period after the upwelling.

778 7 Propagation of water mass signals

779 As discussed in the introduction, while there is increasing evidence that the slope current is an important component of the regional circulation and that it appears to stem largely from the 780 781 outflow from Barrow Canyon, its origin still needs to be confirmed observationally. While this is 782 beyond the scope of the present study, we can address the timing of water mass signals between a 783 mooring situated at the head of Barrow Canyon and our array on the Chukchi shelfbreak/slope. 784 The Barrow Canyon mooring (mooring BC2 in Fig. 2) was positioned in the region of strongest 785 flow entering the canyon (Weingartner et al., 2017). The year-long mean velocity was directed to 786 the northeast (down-canyon, Fig. 3).

787 Using the temperature-salinity definitions in Fig. 4b, we compared the timeseries of water masses measured throughout the year at the head of Barrow Canyon (mooring BC2, 49m), in the Chukchi 788 789 Slope Current (mooring CS4, depth range 50-235m), and in the Chukchi Shelfbreak Jet (mooring 790 CS2, 89m, Fig. 19). The most common water mass passing through the head of Barrow Canyon 791 was newly-ventilated winter water (WW, keeping in mind that the MicroCAT was located near 792 the bottom). This cold water mass was present almost exclusively in the canyon from the beginning 793 of February to early-July (marked by the black triangles in Fig. 19a). Comparing this to the site of 794 the Chukchi mooring array, one sees that the bulk of the WW appeared in the slope current from 795 early-April to early-September (marked by the black triangles in Fig. 19b). Hence, the winter water 796 was present at both locations for roughly five months, with an offset on the order of two months. 797 This supports the notion advanced by Corlett and Pickart (2017), Watanabe et al. (2017), and Spall 798 et al. (submitted) that the outflow from Barrow Canyon feeds the slope current.

To investigate this further, we examined the variation in potential temperature of the WW at the two locations (Fig. 20). The first thing to note is that the water is systematically warmer on the Chukchi slope than in Barrow Canyon, by approximately 0.07° C. This makes sense in that lateral mixing would warm the water as it exits Barrow Canyon and flows westward in the slope current. Furthermore, at both sites there is a clear moderation of the WW to warmer temperatures as the season progresses. We vertically averaged the moored profiler record at CS4 and compared this to the record at BC2. The strongest correlation between the two timeseries (r= 0.6, significant at the 806 95% confidence level) was found for a lag of 60 days (BC2 leading CS4). This is consistent with807 the offset noted above in the arrival times of the WW at the two sites.

The geographical distance from the head of Barrow Canyon to its mouth, plus the distance to the 808 809 Chukchi slope array, is approximately 300km. For a time lag of 60 days, this implies a mean advective speed of 5.6cm/s. The mean velocity at BC2 during the WW period was 17.9cm/s, which 810 811 is considerably larger than this. However, it is probably more appropriate to use the velocity at the 812 array site for this comparison. This is because the flow at head of the Barrow Canyon is locally 813 convergent and the velocity there is stronger than farther down the canyon (Pickart et al., 2005). 814 The velocity at CS4 averaged over the depth of the WW layer for the appropriate period is 9.3 cm/s, 815 which is closer to the above estimate deduced from the water mass signals.





Fig. 19. Timeseries of water mass occurrence at the Chukchi shelfbreak/slope moorings (CS2, CS4) and the mooring at the head of Barrow Canyon (BC2). See Fig. 2 and Fig. 3 for mooring locations. Note that there is no depth scale for moorings CS2 and BC2 since these sites have a single sensor near the bottom (89m for CS2, and 49m for BC2). The gray shading indicates times when the flow is in the opposite direction of the predominant current (southwestward, and northwestward in the three panels, respectively). The black triangles denote the time periods when the bulk of newly-ventilated winter water was present.

The WW signal in the Chukchi Shelfbreak Jet (at mooring CS2) appears in late-May and lasts until mid-September (Fig. 19c). The origin of the water in this current is likely the outflow from Herald Canyon. Observations suggest that the Pacific-origin water exiting the canyon turns to the right 826 and forms a shelfbreak jet along the northern edge of the Chukchi Sea (Pickart et al., 2010; Linders 827 et al., 2017). Models also indicate this (Winsor and Chapman, 2004; Spall 2007). The mean 828 velocity at the bottom of mooring CS2 (near the MicroCAT) was 4.8cm/s. Using the distance along 829 the shelfbreak from the mouth of Herald Canyon to the array site, this gives an advective time of approximately 6 months for the WW to reach the array. This would seem to imply that the cold 830 831 water finished flushing through Herald Canyon in mid-March. Based on the limited observations 832 to date in the canyon, this seems unlikely (Woodgate et al., 2005; Pickart et al., 2010; Linders et al., 2017). However, considering the large distance between the two sites (order 750km), it could 833 834 be that the WW leaving the canyon later in the season (i.e. after March) warms and becomes 835 remnant winter water by the time it reaches the array. We note that Corlett and Pickart (2017) also found that the presence of WW in this region decreased markedly after September. 836



Fig. 20. Potential temperature of the newly-ventilated winter water at (a) mooring BC2 at head of Barrow Canyon
(49m, near the bottom) and (b) mooring CS4 on the Chukchi slope. The light gray shading means that there is
no winter water present. The dark gray shading indicates when the flow is in the opposite direction of the primary
current (southwestward and southeastward in (a) and (b), respectively). The black triangles denote the time
periods when the bulk of winter water appeared.

843 8 Summary and Discussion

Using timeseries from a set of moorings maintained from fall 2013 to fall 2014, the circulation and water mass properties in the vicinity of the Chukchi shelfbreak and slope were investigated. The Chukchi Shelfbreak Jet and the newly-identified Chukchi Slope Current were found to be yearround features with significant seasonal variation. The slope current is surface-intensified in summer and fall and middepth-intensified in winter and spring, during which time it moves shoreward and weakens. The year-long mean volume transport of the current was estimated to be 0.71 \pm 0.05Sv westward, with a Pacific water transport of 0.57 \pm 0.04Sv. The shelfbreak jet is a bottom-intensified current flowing to the east, with a mean transport of 0.009 \pm 0.003Sv. The transport weakens in the spring and becomes westward in May and June. The integrated flow from top to bottom in the vicinity of the shelfbreak is westward in the mean, with an average transport of 0.025 \pm 0.008Sv. The transport timeseries of the shelfbreak jet and slope current were found to be negatively correlated at a significant confidence level.

856 We identified two extreme states of the circulation which were reflected in the dominant EOF 857 mode of alongstream velocity variability. The first state corresponds to an enhanced slope current 858 and reversed (westward-flowing) shelfbreak jet, and the second state corresponds to a strong 859 eastward-flowing shelfbreak jet and weak slope current. The former state occurs under both 860 southwesterly and northeasterly winds, though in each case there is positive wind stress curl over the northeastern Chukchi shelf. The latter scenario occurs primarily under northeasterly winds 861 862 when the wind stress curl over the shelf is negative. Using a simple theoretical model of the flow 863 in the surface and bottom Ekman layers, we demonstrated that the changes in sea surface height 864 on the shelf due to such wind stress curl forcing was consistent with the observed changes in flow 865 seaward of the shelf – both in amplitude and phase – via geostrophic set up.

866 Applying a metric used in previous studies for identifying shelfbreak upwelling in the Beaufort 867 Sea, we determined that there were 15 upwelling events over the course of the year at our array 868 site at the edge of the Chukchi Sea. In contrast to the Beaufort Sea, there was no correlation 869 between wind conditions and the upwelling. Furthermore, there was no apparent relationship 870 between upwelling and the extreme slope current / shelfbreak jet events. While the strongest 871 upwelling event did coincide with an extreme event (strong slope current, reversed shelfbreak jet), this was an exception not the rule, and it was unclear why the flow in this case veered strongly 872 873 onshore. Further work is required to identify the causes of upwelling at the Chukchi shelfbreak.

The dominant water masses present at the shelfbreak/slope mooring site over the course of the year were newly-ventilated Pacific winter water, remnant winter water, and Atlantic water. The newlyventilated winter water appeared in the slope current over the five-month period from early-April to early-September. This same water mass flowed northward through Barrow Canyon over the five-month period from early-February to early-July. Such a 60-day lag implies an advective speed that is reasonably close to the mean velocity of the slope current. This supports recent modeling results and surface drifter data suggesting that the slope current originates from the outflow of Pacific water from Barrow Canyon (Watanabe et al., 2017; Spall et al., submitted; Stabeno et al., 2018). The newly-ventilated winter water signal in the eastward-flowing shelfbreak jet appears later in the year (late-May) and likely stems from the outflow from Herald Canyon.

884 It remains to be determined why the location of the Chukchi Slope Current changes seasonally 885 from being offshore and surface-intensified in summer/fall to onshore and middepth-intensified in 886 winter/spring. The analysis of Corlett and Pickart (2017) suggested that the current is a meandering 887 free jet during the summer months that is baroclinically unstable, but they could not address the 888 seasonal stability characteristics of the flow. The vertical shift of current maximum may be related 889 to the seasonal variation of the outflow from Barrow Canyon. Using mooring data from 2006-2007, 890 Itoh et al. (2013) documented that the maximum depth of the current at the mouth of Barrow 891 Canyon was near the surface in summer and early-fall, and deepened to the middle of the water 892 column from November to mid-June. Furthermore, the Beaufort shelfbreak jet, which is fed from 893 the outflow from Barrow Canyon, displays similar seasonal variation in the depth of the current maximum (Nikolopoulos et al., 2009). Nonetheless, further investigation is needed to help 894 895 determine the connection between the outflow from Barrow Canyon and the Chukchi Slope 896 Current.

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