Autumn upwelling in the Alaskan Beaufort Sea influences

gray whale call occurrence

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Abstract

2 The relationship between wind-driven upwelling and the occurrence of gray whale calls is 3 explored using data from a mooring array deployed across the shelfbreak and slope of the 4 Alaskan Beaufort Sea together with nearby passive acoustic records. The target strength derived 5 from the acoustic Doppler current meters on the moorings is used as a proxy for zooplankton 6 abundance. In autumn the zooplankton diurnal signal is maximized at a depth of 30-40 m where 7 a sharp density interface resides at the base of the mixed layer, presumably trapping the 8 phytoplankton there. Under enhanced easterly winds, the shelfbreak jet reverses and the 9 secondary circulation fluxes zooplankton onto the shelf. At the conclusion of the wind events an 10 eastward-flowing "rebound jet" is temporarily established. Gray whale call detections were 11 greatest during times when the rebound jet was present and upwelled water resided on the outer 12 shelf and upper slope, implying that the whales respond to the introduction of prey onto the shelf. 13 Individual peaks in gray whale call occurrence were generally associated with enhanced target 14 strength, except during times of full ice cover which likely prohibited the whales from accessing 15 the region. Our results suggest an important predator-prey relationship for gray whales and 16 zooplankton associated with autumn upwelling on the Beaufort slope.

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18 Keywords: Arctic Ocean, boundary currents, upwelling, gray whales

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20 1. Introduction

21 Upwelling in the Alaskan Beaufort Sea occurs during all seasons and under varied ice 22 conditions (Schulze and Pickart, 2012; Lin et al., 2016). Driven by enhanced easterly winds, the 23 Ekman transport fluxes water offshore in the surface layer, while the return flow at depth pulls 24 water from the basin onto the shelf. This can result in a substantial exchange of heat, freshwater, 25 inorganic nutrients, and carbon across the shelfbreak (e.g. Mathis et al., 2012; Pickart et al., 26 2013a). The atmospheric forcing is due to the combined action of the Beaufort High and 27 Aleutian Low. Typically, an upwelling event is triggered by a passing low-pressure system to 28 the south, in conjunction with a strengthening of the Beaufort High (Pickart et al., 2009; Mathis 29 et al., 2012; Pickart et al., 2013b). The presence of pack-ice modulates the oceanographic 30 response. For a given wind speed, the upwelling is strongest when there is partial ice cover and

weakest when the concentration is near 100% (as long as the ice is mobile, Schulze and Pickart,
2012). The open water response is intermediate to these two cases.

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34 The prevailing winds in the Alaskan Beaufort Sea are from the east. Seasonally they are 35 strongest in the early-summer and mid-fall (Pickart et al., 2013b), and, consequently, the 36 upwelling is strongest during these times (Lin et al., 2016). Using mooring data and weather 37 station wind records, Lin et al. (this issue) found a statistically significant relationship between 38 the cumulative Ekman transport and an upwelling index based on the potential density anomaly 39 near the shelfbreak. Notably, however, wind strength does not seem to be the primary factor 40 governing the upwelling of Atlantic water, which resides in the lower halocline in the Canada 41 Basin. Instead, the seasonal change in the wind stress curl offshore of the boundary dictates the ability for this deep water to be brought to the shelf. During the cold months of the year the 42 43 halocline is displaced upwards by the positive wind stress curl, which makes the Atlantic layer 44 more accessible for upwelling.

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46 A typical upwelling event along the Beaufort slope unfolds as follows (see Pickart et al., 47 (2013a) and Lin et al. (this issue) for details). Shortly after the easterly winds increase, the shelfbreak jet reverses direction and flows to the west as a surface-intensified current, typically 48 49 flowing around 20 cm s⁻¹, but at times reaching speeds up to 100 cm s⁻¹. Roughly 10 hours later 50 the upwelling commences, advecting water from the basin onto the shelf. Then, before the winds 51 completely subside, an eastward-flowing "rebound jet" is established due to the alongstream 52 pressure gradient force. This jet has a deep-reaching tail extending into the Atlantic water that 53 lasts for several days, after which only the shelfbreak portion remains (i.e. the normal eastward-54 flowing shelfbreak jet). The rebound jet is a ubiquitous feature of upwelling on the Beaufort 55 slope, and, since upwelling is so common, its signature appears in a year-long mean section of 56 velocity. The frequent occurrence of the rebound jet led Aagaard (1984) to call this feature the 57 Beaufort undercurrent.

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59 Through the course of the year, the Beaufort shelfbreak jet advects Pacific water eastward 60 from Barrow Canyon (Nikolopoulos et al., 2009; see Fig. 1). The jet is narrow (10-15 km wide) 61 and has a mean transport of order 0.1 Sv. During summertime it is surface-intensified and carries

62 mostly Pacific summer waters, while over the remainder of the year it is bottom intensified and 63 advects primarily Pacific winter waters (Nikolopoulos et al., 2009; Brugler et al., 2014). At the 64 end of the winter season, much (if not all) of the Chukchi Sea is filled with newly ventilated, nutrient-rich winter water (Pacini et al., this issue). This is the result of input from the Bering 65 66 Sea, as well as local convection on the Chukchi shelf (when leads and polynyas open up) that 67 stirs up remineralized nutrients from the bottom. Consequently, just as the phytoplankton 68 growing season commences, the shelfbreak jet is supplying nutrients to the Beaufort Sea. As 69 such, the current influences the regional ecosystem, including the carbon budget of the western 70 Arctic Ocean. Importantly, the remnant (older) winter water is also relatively high in nitrate and 71 silicate. The shelfbreak jet thus transports nutrients year-round. This is true even in summer 72 when there is remnant winter water immediately below the Pacific summer water.



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75 Figure 1: Schematic circulation of the Chukchi and western Beaufort Seas, after Corlett and Pickart (2017). The red

box outlines the study domain shown in detail in Fig. 2.

78 A mix of small, shelf-type zooplankton species (e.g., *Pseudocalanus* spp.) and larger, slope-79 basin type species are found on the Beaufort shelf and shelfbreak. In particular, the large 80 copepods Calanus hyperboreus and C. glacialis that dominate the biomass in the Arctic Basin 81 (see Ashjian et al., 2003; Hopcroft et al., 2005) are present during summer along the shelfbreak 82 and farther inshore on the Beaufort shelf (Lane et al., 2006; Llinas et al., 2009; Smoot and 83 Hopcroft, 2017b). These species overwinter obligately at depth in the Arctic Basin (e.g., Ashjian 84 et al., 2003; Falk-Petersen et al., 2009) and likely descend to those depths in late summer and 85 early fall. Euphausiids, potentially originating from the Bering Sea, also can be found below the 86 pycnocline along the shelfbreak (Ashjian et al., 2010; Smoot and Hopcroft, 2017a), presumably 87 advected there by the Beaufort shelfbreak jet. The elevated levels of phytoplankton in the jet are 88 thought to provide an aggregation point for zooplankton feeding (e.g., Ashjian et al., 2005; Lane 89 et al., 2008; Smoot and Hopcroft, 2017a).

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91 Wind-driven upwelling may be particularly important to zooplankton distribution and 92 composition on the Beaufort Shelf, bringing the large *Calanus* spp. and euphausiids from depth 93 onto the shelf. Another mesoscale process impacting the transport of zooplankton is eddy 94 formation from the shelfbreak jet. Since the jet is baroclinically unstable (Spall et al., 2008; von 95 Appen and Pickart, 2012) it regularly spawns eddies that propagate into the Canada Basin. 96 Llinas et al. (2009) found elevated concentrations of zooplankton, including species of both 97 Pacific Water and Arctic basin origin, within one such cold-core eddy. They hypothesized that 98 the Arctic species had been upwelled onto the shelf to co-mingle with the Pacific species in the 99 shelfbreak jet prior to the formation of the eddy. Presently it is unknown how these two 100 mechanisms – wind forcing and eddy formation – compare in efficiency, and what the net flux 101 of zooplankton is due to such mesoscale activity.

102

Three species of cetaceans – bowheads, belugas, and gray whales – migrate in the spring
from the Bering Sea to the Chukchi / Beaufort Seas in search of prey, returning south again in
the fall. These are the only cetaceans to inhabit the western Arctic in significant numbers.
Habitat selection is species-specific and varies seasonally (Moore et al., 2000; Clarke et al.,
2016; Stafford et al., 2013; Hauser et al. 2017). In the northeastern Chukchi Sea, gray whales

108 select shallow (<35 m) coastal habitat in summer and shelf/trough (50-200m) habitat in fall

(Clarke et al., 2016), with whale distribution often extending into the western Beaufort Sea.

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111 Gray whales are capable of straining prey both from the water column and from epi- and 112 infaunal benthic communities (Nerini, 1984). It is becoming evident that the Beaufort shelfbreak 113 jet plays a central role in the patterns and availability of this prey. For example, large 114 aggregations of bowheads have been observed near areas of upwelling in the western Beaufort 115 Sea during late summer (Ashjian et al. 2010; Moore et al. 2010; Okkonen et al. 2011, 2017). It 116 has been demonstrated that the timing of the fall migration of bowheads and belugas along the 117 Beaufort slope coincides with the autumn peak in shelfbreak upwelling (Lin et al, 2016). Using 118 passive acoustic data from the Beaufort slope, Stafford et al. (2007) found that gray whales were 119 present not only through the fall but into the winter season. It remains to be determined what 120 factors allow these whales to remain so late into the year. Stafford et al. (2007) noted that 121 decreasing ice concentrations due to the warming climate are now resulting in open water 122 pathways for the whales in late-autumn and winter, but the question of prey availability still 123 remains open.

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125 This paper uses oceanographic mooring timeseries in conjunction with contemporaneous 126 passive acoustic data to explore the links between upwelling on the Alaskan Beaufort slope and 127 the occurrence of gray whales in the late-fall and early-winter. We find that there is a causal 128 relationship between the shoreward flux of zooplankton due to the upwelling and the frequency 129 of gray whale calls. We further consider the influence of ice cover in this relationship. The 130 passive acoustic data were collected in fall/winter 2003-4, but we use additional oceanographic 131 mooring data to elucidate the processes involved. We begin with a description of the in-situ data, 132 and then discuss the characteristics of the regional hydrography and circulation in the absence of 133 wind forcing. Different aspects of the upwelling are described next, including the associated flux 134 of zooplankton. Finally, we compare the oceanographic data to the cetacean call data in an effort 135 to identify how the upwelling might impact the feeding patterns of the gray whales.

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140 **2. Data and Methods**

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- 142 Physical variables
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144 From August 2002 to September 2004 a mooring array was maintained across the 145 shelfbreak and slope of the Alaskan Beaufort Sea near 152°W, roughly 150 km to the east of 146 Barrow Canyon (Fig. 2). This was part of the Western Arctic Shelf-Basin Interactions (SBI) 147 program. The array consisted of 8 moorings spanning from the outer-shelf to roughly the 1400 m 148 isobath on the continental slope (Fig. 3). The inshore-most site contained a bottom tripod, and 149 the rest of the sites consisted of tall moorings. For the hydrographic measurements, the tall 150 moorings employed conductivity-temperature-depth (CTD) profilers nominally sampling 2-4 151 times daily, and the bottom tripod had a MicroCat CTD sensor sampling hourly. For velocity, the 152 inshore 6 moorings had bottom-mounted, upward-facing acoustic Doppler current profilers 153 (ADCPs, either 300 KHz or 75 KHz sampling hourly), and the outer two moorings had an 154 acoustic travel-time current meter on the CTD profiler. The reader is referred to Spall et al. 155 (2008) and Nikolopoulos et al. (2009) for a description of the data processing and sensor 156 accuracies.

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158 Vertical sections of the mooring hydrographic variables (potential temperature, salinity, 159 potential density) and velocity were constructed using Laplacian-Spline interpolation, resulting 160 in 2-4 hydrographic sections per day and 24 velocity sections per day. The velocities were first 161 de-tided using the T Tide harmonic analysis software package (Pawlowicz et al., 2002). 162 Subsequently, we computed alongstream and cross-stream velocities following Nikolopoulos et 163 al. (2009), where the positive alongstream direction is oriented at 125°T and the positive crossstream direction is 35°T. This rotated coordinate system was determined by considering the angle 164 165 of the principal axis of variance ellipses at the different sites as well as the direction of the mean 166 flow vectors.



Figure 2: Map of the study region and the data sources (see the legend). The box delimits the region of the ice

analysis in Section 5. The bottom topography is IBCAO v3.



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Figure 3: Cross-section view of the SBI Beaufort slope mooring array. The legend shows the type of
instrumentation used. The bottom topography is from a shipboard echosounder. The shelfbreak is between moorings
BS2 and BS3.

179 Shipboard hydrographic data from a transect occupied along the mooring line are also used 180 in the study (Fig. 2). The section was carried out from 8-9 October 2003 aboard the USCGC 181 Healy (during a turnaround of the mooring array). A 911+ CTD was used on a 24-position 182 rosette with 10 liter Niskin bottles. The temperature sensors underwent laboratory calibrations 183 before and after the field season, and the accuracies were deemed to be 0.001° C. The 184 conductivity sensors were calibrated using the in-situ water sample salinity data. Only bottles 185 deeper than 300 m were used to reduce the scatter. The resulting accuracy of the salinity 186 measurements was estimated to be 0.002. As was done using the mooring data, vertical sections 187 of the shipboard hydrographic variables were constructed using Laplacian-Spline interpolation. 188 In addition to the standard variables, we computed the planetary potential vorticity, $f/\rho(d\rho/dz)$, as 189 a measure of the stratification of the water column, where f is the Coriolis parameter, ρ is 190 density, and z is depth.

192 The wind data used in our analysis come from the Barrow meteorological station (see Fig. 193 2). This is located roughly 150 km to the west of the SBI mooring array, but previous studies 194 have demonstrated that the data are indicative of the winds in the vicinity of the array (e.g. 195 Pickart et al., 2011). The Barrow data have been quality controlled and small gaps in the record 196 have been filled using linear interpolation (see Pickart et al., 2013b for details). We use the 197 component of wind in the alongcoast direction (Nikolopoulos et al., 2009), and the wind stress 198 was computed following Large and Pond (1981). The ice concentration data are from the passive 199 radiometer on the Advanced Microwave Scanning Radiometer-Earth Observing System (AMSR-200 E). This is a daily product with a spatial resolution of 12.5 km and accuracy of 10% (Spreen et 201 al., 2008).

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203 Relative Acoustic Backscatter as a Proxy for Zooplankton

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205 The backscatter data from the ADCPs were used as a proxy for zooplankton abundance. 206 Moored ADCP backscatter data have been used to demonstrate patterns in zooplankton 207 distribution and seasonal changes at a number of locations in the Arctic Ocean (e.g., Cottier et 208 al., 2006; Berg et al., 2008; Hamilton et al. 2013). Although the instruments are not absolutely 209 calibrated and frequently not validated with coincident net data, the relative backscatter can be 210 used to discern diel patterns in vertical distribution and changes in relative backscatter associated 211 with physical events such as upwelling. The data provide only a relative measure of zooplankton 212 abundance, yet when considered within the records of a single instrument during a single 213 deployment they can indicate greater or lesser concentrations of scatterers, here argued to be 214 zooplankton.

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As part of the instrument configuration, ADCPs process the return echo at discrete time intervals pertaining to depth cells (or bins) along the range of the ADCP signal (range gating). In addition to measuring the Doppler shift of the transmitted signal to determine the velocity of the water column, ADCPs also record the strength of the return signal (echo intensity) at each depth cell. The strength of the return echo generally decreases as a function of distance from the transducer due to sound absorption and attenuation due to beam spreading. To counteract this, a frequency-dependent absorption coefficient (Urick, 1983) is applied for each instrument, along

with an attenuation factor, to calculate target strength (Visbeck, 2002). Since target strength does
not inherently decrease with distance from the transducer, it represents a more accurate
estimation of the relative backscatter in the water column due to zooplankton concentration. We
note that the units of target strength are arbitrary for each instrument. Therefore, it is not
meaningful to construct vertical sections of this quantity.

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229 Here we consider individual records from one of the moorings on which a 300 kHz ADCP 230 was used. This frequency should be able to scatter off of, and thus detect, zooplankton of the 231 size of the large copepods and euphausiids thought to be upwelled along the Beaufort shelfbreak 232 (e.g. Llinas et al., 2009; Smoot and Hopcroft, 2017 a and b). These animals are strong diel 233 vertical migrators when a diurnal light cycle is present and, for *Calanus* spp., if overwintering 234 diapause has not commenced (e.g., Coyle and Pinchuk, 2002; Falk-Petersen et al., 2008, 2009). 235 The presence of a diel signal in the vertical distribution of backscatter from the ADCP would be 236 compelling evidence for the signal as a proxy for such zooplankton.

- 237
- 238 Passive acoustic whale data
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During the 2003-4 deployment of the SBI Beaufort slope array, three Acoustic Recording Packages (ARPs) were placed near the mooring line in order to collect information about marine mammals in this region over the course of the year (Stafford et al. 2007). Here we consider the data from the shoreward-most ARP which was positioned at the 318 m isobath (Fig. 2). This instrument is best suited for investigating gray whale occurrence, since these cetaceans commonly reside on the shelf and in the vicinity of the shelfbreak. A second ARP was placed at the 1258 m isobath (not used in this study), and a third instrument was lost.

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The ARP is a short mooring with a hydrophone situated roughly 10 m above the seafloor (Wiggins, 2003). The data were collected at 1 KHz and subsequently low-pass filtered (see Stafford et al. (2007) for details). The gray whale calls were then tabulated over 10-min intervals. The parameter used in this study is the ratio of the number of 10-min intervals during which calls were recorded, to the total number of 10-min sampling periods within a day (which varied throughout the deployment). This ratio is referred to as the daily percent gray whale calls and

54 should reflect gray whale occurrence. Unfortunately, the ARP malfunctioned at the end of

- 255 December 2003. Hence our comparative analysis of gray whale occurrence in relation to the
- physical environment is limited to the time period from mid-fall to early-winter 2003.
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258 **3. Unforced state of the Beaufort shelfbreak jet**

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260 In the absence of wind forcing, the Beaufort shelfbreak jet flows to the east centered near 261 the 150 m isobath. It has distinct seasonal configurations (Fig. 4). In the spring it is bottom-262 intensified and advects primarily Pacific winter water (Fig. 4, top panel). In this state the current 263 is baroclinically unstable and often forms cold-core eddies that populate the southern Canada 264 Basin (Spall et al., 2008; Timmermans et al., 2008). In summer the jet is generally surface-265 intensified and transports warm Pacific summer waters (Fig. 4, middle panel). This includes 266 Alaskan coastal water, which is advected northward in the Chukchi Sea by the Alaskan coastal 267 current. In this regard the Beaufort shelfbreak jet can be considered the extension of the Alaskan 268 coastal current to the east of Barrow Canyon. However, for a short period in early-summer, and 269 again in the fall, Bering summer water is advected by the shelfbreak jet. When this happens the 270 current is bottom-intensified (see von Appen and Pickart, 2012). The summer mean section of 271 Fig. 4 is dominated by the Alaskan coastal water configuration.

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273 In the winter months the velocity signature of the current is due to a combination of the 274 bottom-intensified shelfbreak jet and the rebound jet discussed in the introduction (Fig. 4, bottom 275 panel). The shelfbreak jet is weakest during this time of year and advects predominantly remnant 276 Pacific winter water, while the rebound jet advects mostly Atlantic water. As noted above, 277 during upwelling events the shelfbreak jet reverses to the west and is surface-intensified. This is 278 reflected in the mean winter velocity of Fig. 4 where the flow in the upper 50 m is westward. The 279 mean winter hydrographic section also reflects the upwelling process: the coldest Pacific winter 280 water is seaward of the velocity core, which is in contrast to the mean spring configuration where 281 the coldest winter water is within the shelfbreak jet. This is because the frequently-upwelled 282 warm Atlantic water moderates the mean temperature on the upper continental slope during 283 winter.

285 As noted above, the shelfbreak jet advects zooplankton to the east. Here we use the 300 286 kHz ADCP target strength as a measure of the zooplankton abundance. Due to the fact each 287 ADCP has its own relative value of target strength (which is uncalibrated), it is not meaningful to 288 construct vertical sections of this quantity. However, inspection of the individual records 289 strongly suggests that the target strength is indeed reflective of the zooplankton in the water 290 column at each site because of a strong signal of diel vertical migration of the backscatter. As an 291 example, we show a 3.5-day segment from late-October 2002 from mooring BS2 at the edge of 292 the shelf (Fig. 5).¹ One clearly sees the signature of the diurnal migration of the zooplankton. 293 During the daytime hours, the backscatter/zooplankton abundance is greatest at depth (>55 m), 294 with very low backscatter in the upper 50 m. During the night the backscatter/zooplankton 295 moves upwards into the upper 50 m, with enhanced backscatter in the depth range of 30-40 m. 296 The timing of the upward and downward redistributions of backscatter relate to the times of local 297 sunrise and sunset (indicated in Fig. 5 by the dashed lines). In particular, the upwards movement 298 coordinates closely to sunset while the downwards movement slightly precedes the time of 299 sunrise, perhaps because the animals are satiated or are sensitive to the prolonged twilight period 300 before sunrise. This diel vertical migration is consistent with the known behavior of both 301 euphausiids and non-overwintering Calanus spp. copepods.

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303 We quantified the diurnal signal using a multi-taper spectrum analysis of the target strength 304 for the time period October–January for the same mooring. This shows a peak in energy at the 305 24-hour period at all depth levels (Fig. 6a), with a maximum amplitude between 30-40 m (Fig. 306 6b). This was also the case for the 3.5-day snapshot in Fig. 5. To elucidate this, we used complex 307 demodulation (e.g. Rosenfeld, 1987) to quantify the time variation of the diurnal amplitude 308 during the three-month time period. In particular, 2-day segments of the timeseries were fit to a 309 sine wave with a 24 hr period, and the segments were shifted by 12 hours over the length of the 310 record. This reveals that the peak in diurnal energy persisted at this depth level until mid-311 November, after which it descended to deeper depths through the end of December (Fig. 7). The 312 magnitude of the signal also decreased abruptly in early November and became more sporadic 313 later into the fall and early winter. This pattern further suggests that the backscatter serves as a

¹ Because of the near-surface blanking interval and the position of the ADCP just above the sea floor, the ADCP at site BS2 only collected data from 15-60 m of the 83 m deep water column.

Spring Average



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Figure 4: Seasonal mean composite sections calculated using the first year of data from the SBI mooring array. The left-hand column is alongstream velocity (cm s⁻¹, where positive is eastward). The right-hand column is potential temperature (color) overlain by salinity (contours). The mooring locations are indicated along the top. The viewer is looking to the west.



Figure 5: Target strength at mooring BS2 for a 3.5-day period in October 2002. The dashed lines indicate the timesof local sunrise and sunset over the period.

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useful proxy for the distribution and relative abundance of zooplankton in these data, since the
timing of the vertical redistribution follows the expected diel signal and the signal decreases in
late fall and winter when daily light signals are diminished and large copepods are in
overwintering diapause.

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Why is there a peak in diurnal energy at this depth range? Unfortunately, the CTD profilers on the moorings did not sample above 40 m depth (to keep them safe from ridging pack ice), so we cannot discern any information about the hydrographic structure of the water column in the depth range of interest. However, a shipboard CTD section was occupied along the mooring line in early October which provides a likely explanation (Fig. 8). One sees that in early fall the
mixed layer was roughly 30 m deep (Fig. 8a), extending from the outer shelf to the offshore edge
of the section. Below the mixed layer was a density interface with enhanced stratification. This is
seen in the vertical section of planetary potential vorticity, which reveals a region of strong
stratification near 30-40 m depth (Fig. 8b). Hence, the zooplankton were likely aggregating at the
pycnocline during night to feed on the phytoplankton, microzooplankton, and particulate organic
material that should accumulate there.





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Figure 6: (a) Spectral amplitude of the target strength at mooring BS2 for the period 1 Oct - 31 Dec 2002. (b)
Amplitude of the diurnal signal as a function of depth.

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345 Fig. 7 suggests that the mixed layer started to deepen in mid-November, allowing the 346 zooplankton to settle deeper in the water column later in the fall. Interestingly, the sudden 347 decrease in diurnal energy in early November coincided with a strong upwelling event on the 348 Beaufort slope (Pickart et al., 2013a). This apparently altered the presence of zooplankton in the 349 region for the remainder of the year. This could be the result of a large net onshore flux of 350 zooplankton to the inner shelf. Notably, this same storm fluxed a substantial amount of nitrate 351 and dissolved organic carbon onshore, while transporting a large quantity of heat offshore 352 (Pickart et al., 2013a). We now consider the upwelling process and the resulting transport of 353 zooplankton using the mooring data.

Diurnal amplitude at mooring BS2



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Figure 7: Amplitude of the diurnal signal in target strength at mooring BS2 computed using complex demodulation(see text).

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360 **4. Upwelling and zooplankton flux**

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362 As described in the introduction, upwelling on the Beaufort slope occurs regularly under 363 enhanced easterly winds. First the shelfbreak jet reverses to the west, followed by shoreward 364 transport of water from the basin to the shelf. Let us examine an individual storm that took place 365 in early November 2002. This is the same storm investigated by Pickart et al. (2011) and Pickart 366 et al. (2013a). It was a strong event that lasted roughly three days, with a peak wind stress of 0.4 N m⁻² (peak wind speed of 18 m s⁻¹, Fig. 9a). The CTD profiler on mooring BS2 revealed that, 367 368 shortly after the peak in wind stress, warm and salty Atlantic water appeared at the mooring site 369 (Fig. 9b). This water typically resides in the basin at a depth greater than 150 m (see the offshore





372 Figure 8: Vertical sections from the shipboard transect occupied in October 2003. (a) Potential temperature (color,



374 station positions are marked along the top.

part of the vertical section in Fig. 8). Hence, during the storm, the water upwelled more than 100m as it progressed shoreward.

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378 The evolution of the event followed the canonical sequence described in the introduction. 379 Before the onset of the easterly winds the shelfbreak jet was flowing to the east (Fig. 10b), and at 380 the height of the storm it reversed to the west at speeds exceeding 100 cm s⁻¹. As the winds 381 subsided the eastward-flowing rebound jet spun up, the upper portion of which was sampled by 382 the BS2 mooring (Fig. 10b). The secondary circulation consisted of an Ekman cell, with offshore 383 transport in the upper 30 m and onshore transport deeper than this (Fig. 10c). The target strength 384 calculated from the ADCP is shown in Fig. 10d. This demonstrates clearly that the onshore flow 385 advected a large amount of zooplankton onto the shelf during the event (note the strong diurnal 386 signal preceding the upwelling event, potentially caused by euphausiids). The zooplankton were 387 resident in the Atlantic layer of the basin prior to the storm (verified by the offshore mooring 388 data). Hence, the upwelling event may have tapped zooplankton that had begun the 389 overwintering process, with weak or non-existent diel vertical migration, making them available 390 as prey for gray whales.

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392 5. Relationship to gray whale occurrence

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The ARPs that were deployed next to the SBI mooring array in 2003 were the first such passive acoustic devices deployed in the Alaskan Beaufort Sea, and revealed that gray whales are present in this region through the fall and winter months (Stafford et al., 2007). The daily percent occurrence of the gray whales at the ARP site on the upper continental slope (Fig. 2) displays pronounced variability through the record, with values ranging from 0 to 100% (Fig. 11). We now investigate if the cetaceans responded to the introduction of prey onto the shelf due to upwelling activity.

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In an effort to identify patterns, we constructed two composites of the physical data. The first composite is for those periods when the percent gray whale occurrence was less than 15%, and the second is for times when it exceeded 50% (results are not sensitive to the precise choices of these criteria). As seen in Fig. 12a, gray whales were relatively scarce when the shelfbreak jet

406 was in its normal configuration for this time of year, i.e. a bottom-intensified current trapped to 407 the shelfbreak. By contrast, the whales were prevalent in this region when the rebound jet was 408 well developed (Fig. 12b). As discussed above, this configuration corresponds to the end of an 409 upwelling event after the zooplankton have been fluxed onto the shelf. This is precisely what 410

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Figure 9: (a) Wind stress calculated from the Barrow meteorological timeseries for the first 12 days of November
2002. The vertical lines denote the period of the upwelling event. (b) Potential temperature (color, °C) overlain by
salinity (contours) from the CTD profiler at mooring BS2 for the same period.



418 Figure 10: (a) Wind stress calculated from the Barrow meteorological timeseries for the first 12 days of November

419 2002. The vertical lines denote the period of the upwelling event. The remaining panels show variables measured

420 from the ADCP at mooring BS2 for the same period. The units of velocity are cm s⁻¹.

421 would be expected if the whales are responding to the introduction of prey onto the shelf. Fig.

422 12c shows the salinity difference between the two composites, which indicates the presence of

423 anomalously salty water on the upper-slope and outer-shelf - i.e., the basin water containing the

- 424 elevated zooplankton (recall that we are unable make a vertical section of relative target
- 425 strength).





Figure 11: Daily percent of gray whale occurrence from the ARP on the upper continental slope (see Fig. 2 for thelocation of the instrument).

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- 430



Figure 12: (a) Composite vertical section of alongstream velocity (cm s⁻¹) for periods when the gray whale
occurrence was less than 15%. (b) Same as (a) except for periods when the gray whale occurrence was greater than

434 50%. (c) The difference in salinity between the two composites (high occurrence minus low occurrence). The

435 mooring locations are marked along the top.

436

437 As noted by Stafford et al. (2007), increased openings in the pack ice in recent years provide438 pathways for the gray whales to navigate during the fall and winter months. Inspection of the

439 AMSR-E ice concentration data for the period considered here indicates that the ice cover in the 440 region from the inner shelf to the mooring array was quite variable and rarely reached 100%. To 441 quantify this, we considered the area within the box in Fig. 2 and computed the fraction of the 442 box that contained ice concentration > 90% for each day. The assumption here is that gray 443 whales would have difficulty navigating through > 90% concentrated ice. The mean fraction of 444 such impenetrable ice for the boxed region was 0.65 ± 0.40 over the time period, and ranged from 445 0 to 1. This suggests that gray whales would be able to frequent this region regularly. There were 446 only three periods of impenetrable ice within the box (i.e. fractions close to 1), lasting 6 days, 4 447 days, and 7 days, respectively.

448

Figure 13 compares the percent occurrence of gray whales, computed from the ARP, and the target strength measured at mooring BS2 on the outer shelf (the target strength had a period of no data return early in the record). This demonstrates a clear relationship between the presence of gray whales and the availability of zooplankton. The particularly large values of target strength at the beginning of the record were associated with a pronounced extended period of upwelling that began on 18 October, i.e. just when the percent whale occurrence climbed to 100% at the



457 Figure 13: Target strength (color) from mooring BS2 in autumn 2003, in relation to gray whale occurrence (black458 curve). The three periods of impenetrable ice cover are indicated by the thick black bars (see text).

beginning of the ARP record. This implies that the high whale occurrence in the latter half of
October was associated with large values of target strength resulting from this upwelling (which
would have been captured had the ADCP returned good data during that time).

463

464 Over the rest of the record one sees that the peaks in whale occurrence are generally 465 associated with enhanced target strength. However, the opposite is not always true. For instance, 466 at the beginning of December there was reasonably high target strength, yet the whale 467 occurrence was near-zero. This can be explained by the fact that there was impenetrable ice in 468 the region during this time (denoted by the thick black bars in Fig. 13). Another example of this 469 is near 21 November. On the other hand, during the last period of impenetrable ice there was 470 enhanced target strength associated with increased whale presence (which could simply mean 471 that our ice criterion is not perfect). The sole exception to the whale-zooplankton relationship 472 occurred around 17 December when there was a large value of target strength without any whale 473 activity despite the fact that the ice was not impenetrable. One must keep in mind, however, that 474 the availability of prey is not a sufficient condition for whales to be present in the area.

475

476 **6. Summary**

477

This study used physical data from a mooring array across the Beaufort shelf/slope to shed light on the variability of gray whale occurrence measured in this region during autumn. The goal was to determine if the whales respond to the flux of zooplankton onto the shelf during wind-driven upwelling events.

482

483 When winds are light, the Beaufort shelfbreak jet advects Pacific water to the east with 484 different seasonal configurations. In spring the current is bottom-intensified and transports 485 primarily Pacific-origin winter water, while in summer it is surface-intensified when Alaskan 486 coastal water is present. During winter it becomes bottom-intensified again and the dominant 487 water mass is remnant winter water. During periods of enhanced easterly winds, which are 488 common in the fall, the shelfbreak jet reverses to the west and upwelling commences, bringing 489 water from the adjacent basin onto the shelf. As the easterly winds relax, an eastward-flowing 490 rebound jet is temporarily established that extends into the Atlantic layer. This sequence of

491 events is ubiquitous to the Alaskan Beaufort slope and occurs during all ice conditions, provided492 the pack ice is mobile.

493

494 The target strength computed using the ADCP backscatter can be used as a proxy for 495 zooplankton in the water column because the backscatter signal shows diel variability 496 coordinated with the daily light cycle, consistent with the diel vertical migration of euphausiids 497 and large copepods known to be present in this region. In autumn the diurnal signal is largest in 498 the depth range of 30-40 m. This is likely because the zooplankton are congregated there due to 499 the strong stratification at the base of the mixed layer. Over the course of the fall this signal 500 weakens and gradually becomes deeper, likely due to the deepening of the mixed layer. During 501 upwelling events in autumn, the secondary circulation advects large amounts of zooplankton 502 onto the shelf. These zooplankton, originating from depth, had likely begun their over-wintering 503 period in the Canada Basin. The upwelling makes them available as prey to gray whales on the 504 shelf.

505

506 The passive acoustic data collected near the mooring array revealed the presence of gray 507 whales throughout the fall and early-winter. Compositing the periods when the daily percent 508 occurrence was less than 15%, versus those times when it was greater than 50%, revealed a clear 509 pattern. Namely, when the Beaufort shelfbreak jet was in its normal configuration, with light 510 winds, the whales were sparse. By contrast, at the end of upwelling events when the basin water 511 was still on the outer-shelf and upper-slope - and the rebound jet was present - larger numbers 512 of gray whales resided in the area. Comparison of the timeseries of target strength and gray 513 whale occurrence shows that peaks in whale occurrence are generally associated with enhanced 514 levels of zooplankton, provided the ice cover does not prohibit the mobility of the whales. 515 Overall, our results suggest an important predator-prey relationship for gray whales and 516 zooplankton associated with autumn upwelling on the Beaufort slope.

517

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519

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- 526
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